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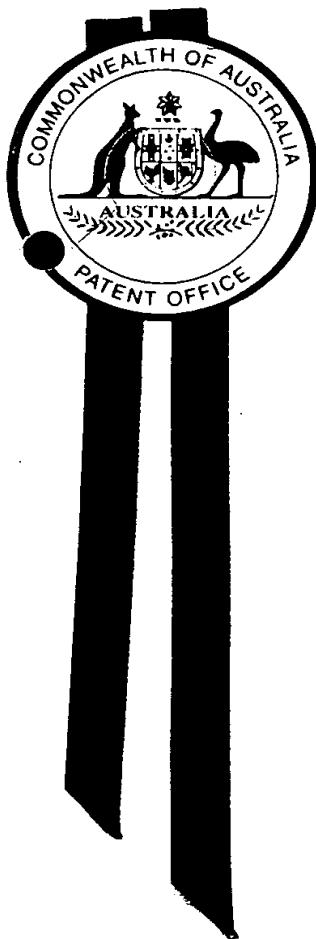
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I, LEANNE MYNOTT, TEAM LEADER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. PP 8103 for a patent by UNISEARCH LIMITED filed on 11 January 1999.



WITNESS my hand this  
Twenty-first day of February 2000

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TEAM LEADER EXAMINATION  
SUPPORT AND SALES

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AUSTRALIA

Patents Act 1990

UNISEARCH LIMITED

PROVISIONAL SPECIFICATION

*Invention Title:*

*Catalytic molecules*

The invention is described in the following statement:

## Catalytic Molecules

### FIELD OF THE INVENTION

The present invention relates to DNAzymes which are targeted against mRNA molecules encoding EGR-1 (also known as Egr-1 or NGFI-A). The present invention also relates to compositions including these DNAzymes and to methods of treatment involving administration of the DNAzymes.

### BACKGROUND OF THE INVENTION

Ribozymes are ribonucleic acid (RNA) molecules which have long been recognized for their capacity to selectively bind to an RNA substrate by Watson-Crick base-pairing and cleave phosphodiester bonds (Haseloff & Gerlach, 1988; Saxena & Ackerman, 1990; McCall et al, 1992). This property has been successfully exploited by many groups to inhibit gene expression in a variety of cell types (reviewed in James & Gibson, 1998). However, the utility of ribozymes as biologic and therapeutic tools has been limited by the susceptibility of these molecules to chemical and enzymatic degradation (Simayama et al, 1993; Heidenreich & Eckstein, 1992) and restricted target site specificity. Chimeric ribozymes containing deoxyribonucleic acid (DNA) or phosphorothioate linkages have been generated to overcome sensitivity to degradation, but these ribozymes are expensive to synthesize and prone to degrade in serum. Antisense phosphorothioated oligodeoxynucleotides (ODNs) are more resistant to nucleolytic cleavage, but these molecules lack catalytic activity. A new generation of catalytic nucleic acid composed entirely of DNA has recently been developed using an *in vitro* selection strategy (Santoro & Joyce, 1997). These Mg<sup>2+</sup>-dependent moieties cleave RNA potentially at any purine-pyrimidine junction (Santoro & Joyce, 1997) and offer greater substrate specificity than hammerhead ribozymes (Kuwabara et al, 1997). Despite the therapeutic promise of DNAzymes, the capacity of these molecules to influence biological responsiveness has not been determined at a cellular or molecular level.

Smooth muscle cells (SMCs) are well recognized as a significant cellular component of atherosclerotic and post-angioplasty restenotic lesions (Stary et al, 1995; Holmes et al, 1984). SMC migration and proliferation are key events in the pathogenesis of these vascular disorders (Jackson & Schwartz, 1992; Libby et al, 1995). The promoter regions of many genes that encode mitogenic and migratory factors expressed by SMCs in these lesions (Evanko et al, 1998; Murry et al, 1996; Ueda et al, 1996; Tanizawa et al, 1996; Rekhter & Gordon, 1994; Hughes et al, 1993; Brogi et al, 1993; Wilcox et al 1989; Wilcox et al, 1988) contain nucleotide (nt) recognition elements for the nuclear protein and transcription factor, Egr-1 (Khachigian & Collins, 1997; Khachigian et al, 1996). Egr-1 is not expressed in the unmanipulated artery wall, but is rapidly activated by mechanical injury (Khachigian et al, 1996; Silverman et al, 1997; Kim et al, 1995). It is also induced in vascular endothelial cells and/or SMCs exposed to fluid biomechanical forces (Khachigian et al, 1997; Sumpio et al, 1998) and multiple other pathophysiologically-relevant agonists (Delbridge & Khachigian, 1997).

## SUMMARY OF THE INVENTION

Egr-1 (also known as NGFI-A and EGR-1) binds to the promoters of genes whose products influence cell movement and replication in the artery wall. Table 1 shows an alignment of the human EGR-1 cDNA sequence with the equivalent mouse (Egr-1) and rat (NGFI-A) sequences. The present inventors have now developed DNA-based enzymes that cut NGFI-A/Egr-1/EGR-1 RNA with high efficiency and specificity. The NGFI-A "DNAzyme" cleaved synthetic and *in vitro* transcribed NGFI-A RNA in a sequence-specific manner and inhibited production of NGFI-A in vascular smooth muscle cells without influencing levels of the related zinc finger protein, Sp1, or the immediate-early gene product, c-Fos. The DNAzyme blocked serum-inducible DNA synthesis in smooth muscle cells and attenuated total cell proliferation. The DNAzyme also inhibited the reparative response to mechanical injury, both in culture and in the rat carotid artery wall. These results indicate a necessary and sufficient role for NGFI-A/Egr-1/EGR-1 in vascular smooth muscle cell growth and provide the first demonstration of a DNAzyme targeted against NGFI-A/Egr-1/EGR-1 transcripts.

Accordingly, in a first aspect the present invention provides a DNAzyme which specifically cleaves EGR-1 mRNA, the DNAzyme including

- (i) a catalytic domain which cleaves mRNA at a purine:pyrimidine cleavage site;
- 5 (ii) a first binding domain contiguous with the 5' end of the catalytic domain; and
- (iii) a second binding domain contiguous with the 3' end of the catalytic domain,

wherein the binding domains are sufficiently complementary to two regions immediately flanking a purine:pyrimidine cleavage site within the region of EGR-1 mRNA corresponding to nucleotides 168 to 332 as shown in SEQ ID NO:1, such that the DNAzyme cleaves the EGR-1 mRNA.

As used herein, "DNAzyme" means a DNA molecule that specifically recognizes and cleaves a distinct target nucleic acid sequence, which may be 15 either DNA or RNA.

In a preferred embodiment of the first aspect of the present invention, the binding domains are complementary to the regions immediately flanking the cleavage site. It will be appreciated by those skilled in the art, however, that strict complementarity may not be required for the DNAzyme to bind to 20 and cleave the EGR-1 mRNA.

The catalytic domain of a DNAzyme of the present invention may be any suitable catalytic domain. Examples of suitable catalytic domains are described in *Santoro and Joyce, 1997* and US 5807718, the entire contents of which are incorporated herein by reference. In a preferred embodiment, the 25 catalytic domain has the nucleotide sequence GGCTAGCTACAACGA.

Within the parameters of the present invention, the binding domain lengths (also referred to herein as "arm lengths") can be of any permutation, and can be the same or different. In a preferred embodiment, the binding domain lengths are at least 6 nucleotides. Preferably, both binding domains have a combined total length of at least 14 nucleotides. Various 30 permutations in the length of the two binding domains, such as 7+7, 8+8 and 9+9, are envisioned. It is well established that the greater the binding domain length, the more tightly it will bind to its complementary mRNA sequence. Accordingly, in a more preferred embodiment, each domain is 35 nine or more nucleotides in length.

Within the context of the present invention, preferred cleavage sites within the region of EGR-1 mRNA corresponding to nucleotides 168 to 332 are as follows:

- (i) the GU site corresponding to nucleotides 198-199;
- 5 (ii) the GU site corresponding to nucleotides 200-201;
- (iii) the GU site corresponding to nucleotides 264-265;
- (iv) the AU site corresponding to nucleotides 271-272;
- (v) the AU site corresponding to nucleotides 292-293;
- 10 (vi) the AU site corresponding to nucleotides 301-302;
- (vii) the GU site corresponding to nucleotides 303-304; and
- (viii) the AU site corresponding to nucleotides 316-317.

In a further preferred embodiment, the DNAzyme has a sequence selected from:

- 15 (i) 5'-cagggacaGGCTAGCTACAAACGAcgttgcggg  
targets GU (bp 198, 199); arms hybridise to bp 189-207
- (ii) 5'-tgcaggggaGGCTAGCTACAAACGAaccgttgcg  
targets GU (bp 200, 201); arms hybridise to bp 191-209
- 20 (iii) 5'-catcctggaGGCTAGCTACAAACGAgaggcgt  
targets GU (bp 264, 265); arms hybridise to bp 255-273
- (iv) 5'-ccgcggccaGGCTAGCTACAAACGAcctggacga  
25 targets AU (bp 271, 272); arms hybridise to bp 262-280
- (v) 5'-ccgctgccaaGGCTAGCTACAAACGAccggacgt  
targets AU (bp 271, 272); arms hybridise to bp 262-280
- 30 (vi) 5'-lcagctgcaGGCTAGCTACAAACGActcgccctt  
targets AU (bp 292-293); arms hybridise to bp 283-301
- (vii) 5'-gcggggacaGGCTAGCTACAAACGAcagctgcat  
targets AU (bp 301, 302); arms hybridise to bp 292-310

(viii) 5'-cagcggggaGGCTAGCTACAAACGAatcagctgc  
targets GU (bp 303, 304); arms hybridise to bp 294-312

5 (ix) 5'-ggtcagagaGGCTAGCTACAAACGActcagcgg  
targets AU (bp 316, 317); arms hybridise to bp 307-325.

In a particularly preferred embodiment, the DNAzyme targets the AU site corresponding to nucleotides 271-272 (ie. the translation start codon).

10 In a further preferred embodiment, the DNAzyme has the sequence:

5'-ccgcggccaGGCTAGCTACAAACGAcctggacga.

15 In applying DNAzyme-based treatments, it is preferable that the DNAzymes be as stable as possible against degradation in the intra-cellular milieu. One means of accomplishing this is by incorporating a 3'-3' inversion at one or more termini of the DNAzyme. More specifically, a 3'-3' inversion (also referred to herein simply as an "inversion") means the covalent 20 phosphate bonding between the 3' carbons of the terminal nucleotide and its adjacent nucleotide. This type of bonding is opposed to the normal phosphate bonding between the 3' and 5' carbons of adjacent nucleotides, hence the term "inversion". Accordingly, in a preferred embodiment, the 3'- 25 end nucleotide residue is inverted in the building domain contiguous with the 3' end of the catalytic domain. In addition to inversions, the instant DNAzymes may contain modified nucleotides. Modified nucleotides include, for example, N3'-P5' phosphoramidate linkages, and peptide-nucleic acid linkages. These are well known in the art.

In a particularly preferred embodiment, the DNAzyme includes an inverted T at the 3' position.

30 As will be appreciated by those skilled in the art, given that DNAzymes of the present invention cleave human EGR-1, similar DNAzymes can be produced to cleave the corresponding mRNA in other species, eg: rat (NGFI-A), mouse (Egr-1) etc. In a further aspect, the present invention provides such DNAzymes.

35 In a second aspect the present invention provides a pharmaceutical composition including a DNAzyme according to the first aspect and a pharmaceutically acceptable carrier.

In a third aspect the present invention provides a method of inhibiting EGR-1 activity in cells which includes exposing the cells to a DNAzyme according to the first aspect of the present invention.

5 In a fourth aspect the present invention provides a method of inhibiting proliferation or migration of cells in a subject which includes administering to the subject a prophylactically effective dose of a DNAzyme according to the first aspect of the present invention.

10 In a fifth aspect the present invention provides a method of treating a condition associated with cell proliferation or migration in a subject which includes administering to the subject a prophylactically effective dose of a DNAzyme according to the first aspect of the present invention.

15 In preferred embodiments of the third, fourth and fifth aspects of the present invention, the cells are vascular cells, particularly smooth muscle or endothelial cells. The cells may, however, be cells involved in neoplasia, such as tumour cells and the like.

Although the subject may be any animal or human, it is preferred that the subject is a human.

20 In a preferred embodiment, conditions associated with SMC proliferation (and migration) are selected from post-angioplasty restenosis, vein graft failure, transplant coronary disease and complications associated with atherosclerosis (cerebrovascular infarction (stroke), myocardial infarction (heart attack) or peripheral vascular disease (gangrene of the extremities).

25 Within the parameters of the fourth and fifth aspects of the present invention, any suitable mode of administration may be used to administer or deliver the DNAzyme.

In particular, delivery of the nucleic acid agents described may be achieved by one or more of the following methods:

- (a) Liposomes and liposome-protein conjugates and mixtures.
- 30 (b) Using catheters to deliver intra-luminal formulations of the nucleic acid as a solution or in a complex with a liposome.
- (c) Catheter delivery to adventitial tissue as a solution or in a complex with a liposome.
- (d) Within a polymer such as Pluronic gels or within ethylene vinyl acetate copolymer (EVAc). The polymer will be delivered intra-luminally.

- (e) Within a viral-liposome complex, such as Sendai virus.
- (f) The nucleic acid may be delivered by a double angioplasty balloon device fixed to catheter.
- (g) The nucleic acid could be delivered on a specially prepared stent of the Schatz-Palmaz or derivative type. The stent could be coated with a polymer or agent impregnated with nucleic acid that allows controlled release of the molecules at the vessel wall.

5 In a preferred embodiment, the mode of administration is topical administration. Topical administration may be effected or performed using  
10 any of the various methods and delivery systems known to those skilled in the art. The topical administration can be performed, for example, via catheter and topical injection, and via coated stent as discussed below.

15 Pharmaceutical carriers for topical administration are well known in the art, as are methods for combining same with active agents to be delivered. The following delivery systems, which employ a number of routinely used carriers, are only representative of the many embodiments  
envisioned for administering the instant composition.

20 Topical delivery systems include, for example, gels and solutions, and can contain excipients such as solubilizers, permeation enhancers (e.g., fatty acids, fatty acid esters, fatty alcohols and amino acids), and hydrophilic polymers (e.g., polycarbophil and polyvinylpyrrolidone). In the preferred embodiment, the pharmaceutically acceptable carrier is a liposome or a biodegradable polymer. Examples of liposomes which can be used in this invention include the following: (1) CellFectin, 1:1.5 (M/M) liposome  
25 formulation of the cationic lipid N,N<sup>I</sup>,N<sup>II</sup>,N<sup>III</sup>-tetramethyl-N,N<sup>I</sup>,N<sup>II</sup>,N<sup>III</sup>-tetrapalmitoylsperrmine and dioleoyl phosphatidyl-ethanolamine (DOPE) (GIBCO BRL); (2) Cytofection GSV, 2:1 (M/M) liposome formulation of a cationic lipid and DOPE (Glen Research); (3) DOTAP (N-[1-(2,3-dioleyloxy)-  
30 N,N,N-trimethyl-ammoniummethylsulfate) (Boehringer Mannheim); and (4) Lipofectamine, 3:1 (M/M) liposome formulation of the polycationic lipid DOSPA and the neutral lipid DOPE (GIBCO BRL).

35 Determining the prophylactically effective dose of the instant pharmaceutical composition can be done based on animal data using routine computational methods. In one embodiment, the prophylactically effective dose contains between about 0.1 mg and about 1 g of the instant DNAzyme. In another embodiment, the prophylactically effective dose contains between

about 1 mg and about 100 mg of the instant DNAzyme. In a further embodiment, the prophylactically effective dose contains between about 10 mg and about 50 mg of the instant DNAzyme. In yet a further embodiment, the prophylactically effective dose contains about 25 mg of the instant

5 DNAzyme.

In a sixth aspect the present invention provides an angioplasty stent for inhibiting the onset of restenosis, which comprises an angioplasty stent operably coated with a prophylactically effective dose of a DNAzyme according to the first aspect.

10 Angioplasty stents, also known by other terms such as "intravascular stents" or simple "stents", are well known in the art. They are routinely used to prevent vascular closure due to physical anomalies such as unwanted inward growth of vascular tissue due to surgical trauma. They often have a tubular, expanding lattice-type structure appropriate for their function, and can optionally be biodegradable.

15 In this invention, the stent can be operably coated with the instant pharmaceutical composition using any suitable means known in the art. Here, "operably coating" a stent means coating it in a way that permits the timely release of the pharmaceutical composition into the surrounding tissue 20 to be treated once the coated stent is administered. Such coating methods, for example, can use the polymer polypyrrole.

25 In a seventh aspect, the present invention provides a method for inhibiting the onset of restenosis in a subject undergoing angioplasty, which comprises topically administering a stent according to the fifth aspect to the subject at around the time of the angioplasty.

As used herein, administration "at around the time of angioplasty" can be performed during the procedure, or immediately before or after the procedure. The administering can be performed according to known methods such as catheter delivery.

30 In order that the nature of the present invention may be more clearly understood, preferred forms thereof will now be described with reference to the following non-limiting Figures and Examples.

Table 1

Symbol comparison table: GenRunData:pileupdna.cmp CompCheck: 6876  
 5 GapWeight: 5.000  
 GapLengthWeight: 0.300  
 EGR1align.msf MSF: 4388 Type: N April 7, 1998 12:07 Check: 5107  
 Name: mouseEGR1 Len: 4388 Check: 8340 Weight: 1.00  
 Name: ratEGR1 Len: 4388 Check: 8587 Weight: 1.00  
 10 Name: humanEGR1 Len: 4388 Check: 8180 Weight: 1.00  
  
NB. THIS IS RAT NGFI-A numbering  
 1  
 15 mouseEgr1 .....  
 ratNGFIA CCGCGGAGCC TCAGCTCTAC GCGCCTGGGG CCCTCCCTAC GCGGGCGTCC  
 humanEGR1 .....  
 50  
 20 mouseEGR1 .....  
 ratEGR1 CCGACTCCCG CGCGCGTTCA GGCTCCGGGT TGGGAACCAA GGAGGGGGAG  
 humanEGR1 .....  
 100  
 25 mouseEGR1 .....  
 ratEGR1 GGTGGGTGCG CCGACCCGGA AACACCATAT AAGGAGCAGG AAGGATCCCC  
 humanEGR1 .....  
 150  
 30 mouseEGR1 .....  
 ratEGR1 CGCCGGAACA GACCTTATTG GGGCAGCGCC TTATATGGAG TGGCCAAATA  
 humanEGR1 .....  
 200  
 35 mouseEGR1 .....  
 ratEGR1 TGGCCCTGCC GCTTCGGCT CTGGGAGGAG GGGCGAACGG GGGTTGGGGC  
 humanEGR1 .....  
 250  
 40 mouseEGR1 .....  
 ratEGR1 GGGGGCAAGC TGGGAACCTCC AGGAGCCTAG CCCGGGAGGC CACTGCCGCT  
 humanEGR1 .....  
 300  
 45 mouseEGR1 .....  
 ratEGR1 GTTCCAATAC TAGGCTTCC AGGAGCCTGA GCGCTCAGGG TGCCGGAGCC  
 humanEGR1 .....  
 350  
 50 mouseEGR1 .....  
 ratEGR1 GGTCGCAGGG TGGAAGCGCC CACCGCTCTT GGATGGGAGG TCTTCACGTC  
 humanEGR1 .....  
 400  
 55 mouseEGR1 .....  
 ratEGR1 ACTCCGGGTC CTCCCGGTG GTCCCTCAT ATTAGGGCTT CCTGCTTCCC  
 humanEGR1 .....  
 450  
 60 mouseEGR1 .....  
 ratEGR1 ATATATGGCC ATGTACGTCA CGGCGGAGGC GGGCCCGTGC TGTTTCAGAC



		1100
	1051	
5	mouseEGR1 ratEGR1 humanEGR1	GCAACAGCGG CAGCAGCGCC TTCAATCCTC AAGGGGAGCC GAGCGAACAA GCAACAGCGG CAGCAGCGCT TTCAATCCTC AAGGGGAGCC GAGCGAACAA GCAGCAGCAG CAGCAGCACC TTCAACCCTC AGGCGGACAC GGGCGAGCAG
		1150
	1101	
10	mouseEGR1 ratEGR1 humanEGR1	CCCTATGAGC ACCTGACCAC AG...AGTCC TTTTCTGACA TCGCTCTGAA CCCTACGAGC ACCTGACCAC AGGTAAAGCGG TGGTCTGCGC CGAGGCTGAA CCCTACGAGC ACCTGACCAC AG...AGTCT TTTCTTGACA TCTCTCTGAA
		1200
	1151	
15	mouseEGR1 ratEGR1 humanEGR1	TAATGAGAAG GCGATGGTGG AGACGAGTTA TCCCAGCCAA ACGACTCGGT TCCCCCTTCG TGACTTACCT AACGTCCAGT CCTTTGCAGC ACGGACCTGC CAACGAGAAG GTGCTGGTGG AGACCAGTTA CCCCAGCCAA ACCACTCGAC
		1250
	1201	
20	mouseEGR1 ratEGR1 humanEGR1	TGCCCTCCAT CACCTATACT GGCGCGTTCT CCCTGGAGCC CGCACCCAAC ATCTAGATCT TAGGGACGGG ATTGGGATTT CCCTCTATTC ..CACACAGC TGCCCCCAT CACCTATACT GGCGCGTTCT CCCTGGAGCC TGCACCCAAC
		1300
	1251	
25	mouseEGR1 ratEGR1 humanEGR1	AGTGGCAACA CTTTGTGGCC TGAACCCCTT TTCAGCCTAG TCAGTGGCCT TCCAGGGACT TGTGTTAGAG GGATGTCTGG GGACCCCCCA ACCCTCCATC AGTGGCAACA CCTTGTGGCC CGAGCCCCCTC TTCAGCTTGG TCAGTGGCCT
		1350
	1301	
30	mouseEGR1 ratEGR1 humanEGR1	CGTGAGCATG ACCAATCCTC CGACCTCTTC ATCCTCGGCG CCTTCTCCAG CTTGCAGGTG CGCGGAGGGC AGACCGTTTG TTTTGGATGG AGAACTCAAG AGTGGAGCATG ACCAACCCAC CGGCCTCCTC GTCCCTCAGCA CCATCTCCAG
		1400
	1351	
35	mouseEGR1 ratEGR1 humanEGR1	CTGCTTCATC GTCTTCCTCT GCCTCCCAGA GCCCGCCCCCT GAGCTGTGCC TTGCAGGGGT GGCT..... .... GGAGT GGGGGAGGGT TTGTTTTGAT CGGCCTCCTC CGC...CTCC GCCTCCCAGA GCCCACCCCT GAGCTGCGCA
		1450
	1401	
40	mouseEGR1 ratEGR1 humanEGR1	GTGCCGTCCA ACGACAGCAG TCCCCTCTAC TCGGCTGCC CCACCTTTCC GAGCAGGGTT GC....CCCC TCCCCCGCGC GCGTTGTCGC GAGCCTTGTT GTGCCATCCA ACGACAGCAG TCCCATTAC TCAGCGGCAC CCACCTTCCC
		1500
	1451	
45	mouseEGR1 ratEGR1 humanEGR1	TACTCCCAAC ACTGACATT TTCTGAGCC CCAAAGCCAG GCCTTCCCTG TGCAGCTTGT TCCAAGGAA GGGCTGAAAT CTGTCACCG AGATGTCCCC CACGCCAAC ACTGACATT TCCCTGAGCC ACAAAAGCCAG GCCTTCCCGG
		1550
	1501	
50	mouseEGR1 ratEGR1 humanEGR1	GCTCGGCAGG CACAGCCTTG CAGTACCCGC CTCCCTGCCTA CCCTGCCACC CGGCCAGGG TAGGGCGCGC CATTAGCTGT GGCC.ACTAG GGTGCTGGCG GCTCGGCAGG GACAGCGCTC CAGTACCCGC CTCCCTGCCTA CCCTGCCGCC
		1600
	1551	
55	mouseEGR1 ratEGR1 humanEGR1	AAAGGTGGT'T TCCAGGTTCC CATGATCCCT GACTATCTGT TTCCACAAACA GGATTCCCTC ACCCCGGACG CCTGCTGCC AGCGCTCTCA GAGCTGCAGT AAGGGTGGCT TCCAGGTTCC CATGATCCCC GACTACCTGT TTCCACAGCA
		1650
	1601	
60	mouseEGR1 ratEGR1 humanEGR1	ACAGGGAGAC CTGAGCCCTGG GCACCCAGA CCAGAAGCCC TTCCAGGGTC AGAGGGGGAT TCTCTGTTG CGTCAGCTGT CGAAATGGCT CT.....GC GCAGGGGGAT CTGGGCCTGG GCACCCAGA CCAGAAGCCC TTCCAGGGCC

		1651	1700
	mouseEGR1	TGGAGAACCG TACCCAGCAG CCTTCGCTCA CTCCACTATC CACTATTAAA	
	ratEGR1	CACTGGAGCA GGTCCAGGAA CATTGCAATC TGCTGCTATC AATTATTAAC	
	humanEGR1	TGGAGAGCCG CACCCAGCAG CCTTCGCTAA CCCCTCTGTC TACTATTAAG	
5		1701	1750
	mouseEGR1	GCCTTCGCCA CTCAGTCGGG CTCCCAGGAC TTAAAG..... . . . GCTCTTA	
	ratEGR1	CACATCGAGA GTCAAGTGGTA GCCGGGCGAC CTCTTGCTG GCCGCTTCGG	
	humanEGR1	GCCTTTGCCA CTCAGTCGGG CTCCCAGGAC CTGAAG. . . . . GCCCTCA	
10		1751	1800
	mouseEGR1	ATACCACCTA CCAATCCCAG CTCATCA..A ACCCAGCCGC ATGCGCAAGT	
	ratEGR1	CTCTCATCGT CCAGTGATTG CTCTCCAGTA ACCAGGCCTC TCTGTTCTCT	
	humanEGR1	ATACCAGCTA CCAGTCCCAG CTCATCA..A ACCCAGCCGC ATGCGCAAGT	
15		1801	1850
	mouseEGR1	ACCCCCAACCG GCCCAGCAAG ACACCCCCCCC ATGAACGCC ATATGCTTGC	
	ratEGR1	TTCCTGCCAG AGTCCTTTTC TGACATCGCT CTGAATAACG AGAAG..GCG	
	humanEGR1	ATCCCCAACCG GCCCAGCAAG ACGCCCCCCC ACGAACGCC TTACGCTTGC	
20		1851	1900
	mouseEGR1	CCTGTCGAGT CCTGCGATCG CCGCTTTCT CGCTCGGATG AGCTTACCCG	
	ratEGR1	CTGGTGGAGA CAAGTTATCC CAGCCAAACT ACCCGGTTGC CTCCCATCAC	
	humanEGR1	CCAGTGGAGT CCTGTGATCG CCGCTTCTCC CGCTCCGACG AGCTCACCCG	
25		1901	1950
	mouseEGR1	CCATATCCGC ATCCACACAG GCCAGAAGCC CTTCCAGTGT CGAATCTGCA	
	ratEGR1	CTATACTGGC CGCTTCTCCC TGGAGCCTGC ACCAACACTG GGCAACACTT	
	humanEGR1	CCACATCCGC ATCCACACAG GCCAGAAGCC CTTCCAGTGC CGCATCTGCA	
30		1951	2000
	mouseEGR1	TGCGTAACTT CAGTCGTAGT GACCACCTA CCACCCACAT CCGCACCCAC	
	ratEGR1	TGTGGCCTGA ACCCCCTT'TTC AGCCTAGTCA GTGGCCTTGT GAGCATGACC	
	humanEGR1	TGCGCAACTT CAGCCGCAGC GACCACCTCA CCACCCACAT CCGCACCCAC	
35		2001	2050
	mouseEGR1	ACAGGCGAGA AGCCTTTTGC CTGTGACATT TGTGGGAGGA AGTTTGCCAG	
	ratEGR1	AACCCCTCAA CCTCTTCATC CTCAGCGCCT TCTCCAGCTG CTTCATCGTC	
	humanEGR1	ACAGGCGAAA AGCCCTTCGC CTGCGACATC TGTGGAAGAA AGTTTGCCAG	
40		2051	2100
	mouseEGR1	GAGTGATGAA CGCAAGAGGC ATACCAAAT CCATTTAAGA CAGAAGGACA	
	ratEGR1	TTCCCTCTGCC TCCCAGAGCC CACCCCTGAG CTGTGCCGTG CCGTCCAACG	
	humanEGR1	GAGCGATGAA CGCAAGAGGC ATACCAAGAT CCACTTGCGG CAGAAGGACA	
45		2101	2150
	mouseEGR1	AGAAAAGCAGA CAAAAGTGTG GTGGCCTCCC CGGCTGC... .CTCTTCACT	
	ratEGR1	ACAGCAGTCC CATTACTCA GCTGCACCCA CCTTTCTAC TCCCAACACT	
	humanEGR1	AGAAAAGCAGA CAAAAGTGTG GTGGCCTCTT CGGCCACCTC CTCTCTCTCT	
50		2151	2200
	mouseEGR1	..... . . . . . CTCTTCTTAC CCATCCCCAG TGGCTACCTC	
	ratEGR1	..... . . . . . GACATTTTC CTGAGCCCCA AAGCCAGGCC	
	humanEGR1	TCCTACCCGT CCCCGGTTGC TACCTCTTAC CCGTCCCCGG TTACTACCTC	
55		2201	2250
	mouseEGR1	CTACCCATCC CCTGCCACCA CCTCATTCCC ATCCCCTGTG CCCACTTCCT	
	ratEGR1	TTTCCTGGCT CTGCAGGGCAC AGCCTTGCAG TACCCGCCTC CTGCCTACCC	
	humanEGR1	TTATCCATCC CCGGCCACCA CCTCATAACCC ATCCCCTGTG CCCACCTCCT	
60			

		2300
	2251	
5	mouseEGR1 ratEGR1 humanEGR1	ACTCCTCTCC TGGCTCCTCC ACCTACCCAT CTCCCTGCAGA CAGTGGCTTC TGCCACCAAG GGTGGTTTC AGGTTCCCAT GATCCCTGAC TATCTGTTTC TCTCCTCTCC CGGCTCCTCG ACCTACCCAT CCCCTGTGCA CAGTGGCTTC
		2350
	2301	
10	mouseEGR1 ratEGR1 humanEGR1	CCGTCGCCGT CAGTGGCAC CACCTTGCC TCCGTTCC.. .... ACAACAACA GGGAGACCTG AGCCTGGCA CCCAGACCA GAAGCCCTTC CCCTCCCCGT CGGTGGCAC CACGTACTCC TCTGTTCCC. ....
		2400
	2351	
15	mouseEGR1 ratEGR1 humanEGR1	....ACCTGC TTTCCCCACC CAGGTAGCA GCTTCCCGTC TGCGGGCGTC CAGGGTCTGG AGAACCGTAC CCAGCAGCCT TCGCTCACTC CACTATCCAC ....CCTGC TTTCCCGGCC CAGGTAGCA GCTTCCCTTC CTCAGCTGTC
		2450
	2401	
20	mouseEGR1 ratEGR1 humanEGR1	AGCAGCTCCT TCAGCACCTC AACTGGTCTT TCAGACATGA CAGCGACCTT TATCAAAGCC TTCGCCACTC AGTCGGGCTC CCAGGACTTA AAGGCTCTTA ACCAACTCCT TCAGCGCCTC CACAGGGCTT TCGGACATGA CAGCAACCTT
		2500
	2451	
25	mouseEGR1 ratEGR1 humanEGR1	TTCTCCCAGG ACAATTGAAA TTTGCTAAAG GGA..... .ATAAAAG.. ATAACACCTA CCAGTCCCAA CTCATCAAAC CCAGCCGCAT GCGCAAGT.. TTCTCCCAGG ACAATTGAAA TTTGCTAAAG GGAAAGGGGA AAGAAAGGGGA
		2550
	2501	
30	mouseEGR1 ratEGR1 humanEGR1	.AAAGCAAAG GGAGAGGCAG GAAAGACATA AAAGCA...C AGGAGGGAAG .ACCCCAACC GCCCCAGCAA GACACCCCCC CATGAACGCC CGTATGCTTG AAAGGGAGAA AAAGAAACAC AAGAGACTTA AAGGACAGGA GGAGGAGATG
		2600
	2551	
35	mouseEGR1 ratEGR1 humanEGR1	AGATGGCCGC AAGAGGGGCC ACCTCTTAGG TCAGATGGAA GATCTCAGAG CCCTGTTGAG TCCCTGCATC GCGCTTTTC TCGCTCGGAT GAGCTTACAC GCCATAGGAG AGGAGGGTT. .CCTCTTAGG TCAGATGGAG GTTCTCAGAG
		2650
	2601	
40	mouseEGR1 ratEGR1 humanEGR1	CCAAGTCCTT CTACTCACGA GTA..GAAGG ACCGTTGGCC AACAGCCCTT GCCACATCCG CATCCATACA GGC..CAGAA GCCCTTCAG TGTCGAATCT CCAAGTCCTC CCTCTCTACT GGAGTGGAAAG GTCTATTGGC CAACAATCCT
		2700
	2651	
45	mouseEGR1 ratEGR1 humanEGR1	TCACTTACCA TCCCTGCCTC CCCCCGTCTG TTCCCTTTGA CTTCAGCTGC GCATCGTAA TTTCAGTCGT AGTGACCACC TTACCACCA CATCCGCACC TTCTGCCAAC TTCCCCTTCC CCAATTACTA TTCCCTTTGA CTTCAGCTGC
		2750
	2701	
50	mouseEGR1 ratEGR1 humanEGR1	CTGAAACAGC CATGTCCAAG TTCTTCACCT CTATCCAAAG GACTTGATTT C..ACACAGG CGAGAAGCCT TTTGCCTGTG ACATTTGTGG GAGAAAGTTT CTGAAACAGC CATGTCCAAG TTCTTCACCT CTATCCAAAG AACTTGATTT
		2800
	2751	
55	mouseEGR1 ratEGR1 humanEGR1	GCATGG.... .TATTGGAT AAATCATTTC AGTATCCTCT .. .... GCCAGGAGTG ATGAACGCAA GAGGCATACC AAAATCCACT TAAGACAGAA GCATGGA.... .TTTGAT AAATCATTTC AGTATCCTCT .. ....
		2850
	2801	
60	mouseEGR1 ratEGR1 humanEGR1	....CCATC ACATGCCTGG CCCTTGCTCC CTTCAGCGCT AGACCACCAA GGACAGAAA GCAGACAAA GTGTCGTGGC CTCCTCAGCT GCCTCTTCCC ....CCATCA TATGCCTGAC CCCTTGCTCC CTTCAATGCT AGAAAATCGA

		2900
5	mouseEGR1 ratEGR1 humanEGR1	2851 GTTGGCATAA AGAAAAAAA ATGGGTTGG GCCCTCAGAA CCCTGCCCTG TCTCTTCCTA CCCATCCCCA GTGGCTACCT CCTACCCATC CCCC GCCACC GTTGGC.... .... AAAAT GGGGTITGGG CCCCTCAGAG CCCTGCCCTG
		2950
10	mouseEGR1 ratEGR1 humanEGR1	2901 CATCTTTGTA CAGCATCTGT GCCATGGATT TTGTTTTCCT TGGGGTATTC ACCTCATTC CATCCCCAGT GCCCACCTCT TACTCCTCTC CGGGCTCCTC CACCCCTGTA CAGTGTCTGT GCCATGGATT TCGTTTTCT TGGGGTACTC
		3000
15	mouseEGR1 ratEGR1 humanEGR1	2951 TTGATGTGAA GATAATTGC ATACT.... .CTATTGTAT TATTGGAGT TACCTACCCG TCTCCTGCAC ACAGTGGCTT CCCATGCC CCGGTGGCCA TTGATGTGAA GATAATTGC ATATT.... .CTATTGTAT TATTGGAGT
		3050
20	mouseEGR1 ratEGR1 humanEGR1	3001 TAAATCCTCA CTTGGGG.. GAGGGGGGAG CAAAGCCAAG CAAACCAATG CCACCTATGC CTCCGTCC.. CACCTGCTT CCCTGCCAG GTCAGCACCT TAGGTCCCTCA CTTGGGGGAA AAAAAAAA AAAAGCCAAG CAAACCAATG
		3100
25	mouseEGR1 ratEGR1 humanEGR1	3051 ATGATCCTCT ATTGTTGTGAT GACTCTGCTG TGACATTA.. .... TCCAGTCAGC AGGGGTCAGC AACTCCTTC GAACCTCAAC GGGTCTTCA GTGATCCTCT ATTGTTGTGAT GATGCTGTGA CAATA.... ....
		3150
30	mouseEGR1 ratEGR1 humanEGR1	3101 .GGTTGAAG CATTTTTTT TTCAAGCAGC AGTCCTAGGT ATTAACGTGA GACATGACAG CAACCTTTTC TCCTAGGACA ATTGAAATT GCTAAAGGGA .AGTTGA ACCTTTTTT TTGAAACAGC AGTCCCAG... .TATTCTCA
		3200
35	mouseEGR1 ratEGR1 humanEGR1	3151 .GCATGTGT CAGAGTGTG TTCCGTTAAT TTTGAAATA CTGGCTCGAC ATGAAAGAGA GCAAAGGGAG GGGAGCGCGA GAGACAATAA AGGACAGGAG GAGCATGTGT CAGAGTGTG TTCCGTTAAC CTTTTGTAA ATACTGCTTG
		3250
40	mouseEGR1 ratEGR1 humanEGR1	3201 .TGTAACCTCT CACATGTGAC AAAGTATGGT TTGTTTGGTT GGGTTTGTG .GGAAGAAAT GGCCCGCAAG AGGGGCTGCC TCTTAGGTCA GATGGAAGAT ACCGTACTCT CACATGTGGC AAAATATGGT TTGGTTTTC TTTTTTTT
		3300
45	mouseEGR1 ratEGR1 humanEGR1	3251 TTTGAGAATT TTTTGCCCCG TCCCTTGTT TTCAAAAGTT TCACGTCTTG CTCAGAGCCA AGTCCTTCTA GTCAGTAGAA GGCCCGTGG CCACCAGCCC TTGAAAGTGT TTTTCTTCG TCCTTTGTT TTAAAAAGTT TCACGTCTTG
		3350
50	mouseEGR1 ratEGR1 humanEGR1	3301 GTGCCTTTG TGTGACACGC CTT.CCGATG GCTTGACATG CGCA..... TTTCACCTAG CGTCCCTGCC CTC.CCCAGT CCCGGTCCTT TTGACTTCAG GTGCCTTTG TGTGATGCC CTTGCTGATG GCTTGACATG TGCAAT....
		3400
55	mouseEGR1 ratEGR1 humanEGR1	3351 ...GATGTGA GGGACACGCT CACCTTAGCC TTAA...GGG GGTAGGAGTG CTGCCTGAAA CAGCCACGTC CAAGTTCTTC ACCT...CTA TCCAAAGGAC .TGTGA GGGACATGCT CACCTCTAGC CTTAAGGGGG GCAGGGAGTG
		3450
60	mouseEGR1 ratEGR1 humanEGR1	3401 ATGTGTGTTGGG GGAGGCTTGA GAGCAAAAC GAGGAAGAGG GCTGAGCTGA TTGATTGCA TGGTATTGGA TAAACCATT CAGCATCATC TCCACCACAT ATGATTGGG GGAGGCTTG GGAGCAAAAT AAGGAAGAGG GCTGAGCTGA

	3451	3500
mouseEGR1	GCTTTCGGTC TCCAGAATGT AAGAAGAAAA AATTAAACCA AAAATCTGAA	
ratEGR1	GCCTGGCCT TGCTCCCTTC AGCACTAGAA CATCAAGTTG GCTGAAAAAA	
humanEGR1	GCTTCGGTTC TCCAGAATGT AAGAAAACAA AATCTAAAAC AAAATCTGAA	
5	3501	3550
mouseEGR1	CTCTCAAAAG TCTATTTTC TAAACTGAAA ATGTAAATTT ATACATCTAT	
ratEGR1	AAAATGGTC TGGGCCCTCA GAACCTGCG CTGTATCTT GTACA.....	
humanEGR1	CTCTCAAAAG TCTATTTTT TAA.CTGAAA ATGTAAATTT ATAATATAT	
10	3551	3600
mouseEGR1	TCAGGAGTTG GAGTGTGTG GTTACCTACT GAGTAGGCTG CAGTTTTGT	
ratEGR1	GCATCTGTGC CATGGATTT GTTTCCCTG GGGTATTCTT GATGTGAAGA	
humanEGR1	TCAGGAGTTG GAATGTGTG GTTACCTACT GAGTAGGCGG CGATTTTTGT	
15	3601	3650
mouseEGR1	ATGTTATGAA CATGAAGTTC ATTATTTGT GGTTTATTT TACTTTGTAC	
ratEGR1	TAATTTGCAT ACTCTATTGT ACTATTTGGA GTTAAATTCT CACTTTGGGG	
humanEGR1	ATGTTATGAA CATGCAGTTC ATTATTTGT GGTTCTATTT TACTTTGTAC	
20	3651	3700
mouseEGR1	TTGTGTTTGC TAAACCAAAG TAACCTGTTT GGCTTATAAA CACATTGAAT	
ratEGR1	GAGGGGGAGC AAAGCCAAGC AAACCAATGG TGATCCTCTA TTTTGTGATG	
humanEGR1	TTGTGTTTGC TAAACCAAAG TGA.CTGTGTTT GGCTTATAAA CACATTGAAT	
25	3701	3750
mouseEGR1	GCGCTCTATT GCCCATGG... GATATGTG GTGTGTATCC TTCAAGAAAAAA	
ratEGR1	ATCCTGCTGT GACATTAGGT TTGAAACTTT TTTTTTTTTT TGAAGCAGCA	
humanEGR1	GCGCTTATT GCCCATGG... GATATGTG GTGTATATCC TTCCAAGAAAAAA	
30	3751	3800
mouseEGR1	TTAAAAGGAA AAAT.....	
ratEGR1	GTCCTAGGTA TAAACTGGAG CATGTGTCAG AGTGTGTTTC CGTTAATT	
humanEGR1	TTAAAACGAA AATAAAGTAG CTGCGATTGG G.....	
35	3801	3850
mouseEGR1	.....	
ratEGR1	GTAAATACTG CTCGACTGTA ACTCTCACAT GTGACAAAAT ACGGTTGTT	
humanEGR1	.....	
40	3851	3900
mouseEGR1	.....	
ratEGR1	TGGTTGGGT' TTTTGTGTT TTTGAAAAAA AAATTTTTT TTTGCCCGTC	
humanEGR1	.....	
45	3901	3950
mouseEGR1	.....	
ratEGR1	CCTTTGGTTT CAAAAGTTTC ACGTCTTGGT GCCTTTGTGT GACACACCTT	
humanEGR1	.....	
50	3951	4000
mouseEGR1	.....	
ratEGR1	GCCGATGGCT GGACATGTGC AATCGTGAGG GGACACGCTC ACCTCTAGCC	
humanEGR1	.....	
55	4001	4050
mouseEGR1	.....	
ratEGR1	TTAAGGGGGT AGGAGTGATG TTTCAGGGGA GGCTTAGAG CACGATGAGG	
humanEGR1	.....	
60		

		4100
	4051	
	mouseEGR1	.....
	ratEGR1	AAGAGGGCTG AGCTGAGCTT TGGTTCTCCA GAATGTAAGA AGAAAAATT
	humanEGR1	.....
5		4150
	4101	
	mouseEGR1	.....
	ratEGR1	AAAACAAAAA TCTGAACTCT CAAAAGTCTA TTTTTTTAAC TGAAAATGTA
	humanEGR1	.....
10		4200
	4151	
	mouseEGR1	.....
	ratEGR1	GATTTATCCA TGTCGGGAG TTGGAATGCT GCGGTTACCT ACTGAGTAGG
	humanEGR1	.....
15		4250
	4201	
	mouseEGR1	.....
	ratEGR1	CGGTGACTTT TGTATGCTAT GAACATGAAG TTCATTATTT TGTGGTTTA
	humanEGR1	.....
20		4300
	4251	
	mouseEGR1	.....
	ratEGR1	TTTTACTTCG TACTTGTGTT TGCTTAAACA AAGTGACTTG TTTGGCTTAT
	humanEGR1	.....
25		4350
	4301	
	mouseEGR1	.....
	ratEGR1	AAAGACATTG AATGCGCTTT ACTGCCCATG GGATATGTGG TGTGTATCCT
	humanEGR1	.....
30		4388
	4351	
	mouseEGR1	.....
	ratEGR1	TCAGAAAAAT TAAAAGGAAA ATAAAAGAAC TAACTGGT
	humanEGR1	.....
35		

## BRIEF DESCRIPTION OF THE FIGURES

**Figure 1** *In vitro* cleavage of NGFI-A RNA. **a**, Sequence of NGFI-A DNAzyme (ED5), its scrambled control (ED5SCR) and 23 nt synthetic rat substrate. The translational start site is underlined. **b**, Time-dependent and sequence-specific cleavage of synthetic substrate by NGFI-A DNAzyme. The 12 nt reaction product is shown. **c**, Dose-dependent cleavage by ED5. The DNAzyme to substrate stoichiometric ratio is indicated. Sequence of hED5 is 5'-CCG CGG CCA GGC TAG CTA CAA CGA CCT GGA CGA T-3' (3' T is inverted; catalytic domain is underlined). **d**, DNAzyme cleavage of 206 nt *in vitro* transcript. The schematic shows the NGFI-A 206 nt *in vitro* transcript and expected cleavage products (163 and 43 nts). Arrow indicates the expected site of cleavage. Data in each panel of this figure is representative of 2 or more independent experiments.

**Figure 2** NGFI-A DNAzyme inhibits the induction of NGFI-A mRNA and protein by serum. **a**, Northern blot analysis was performed with 25 µg of total RNA. The blot was stripped and reprobed for β-Actin. Autoradiograms were analyzed by scanning densitometry and the ordinate axis is expressed as NGFI-A band intensity as a fraction of β-Actin band intensity. The mean and standard errors of the mean are indicated in the figure. Data is representative of 2 independent experiments. \* indicates P<0.05 (Student's paired t-test) as compared to control (FBS alone). **b**, Western blot analysis was performed using antibodies to Egr-1/NGFI-A, Sp1 or c-Fos. The Coomassie Blue stained gel demonstrates that uniform amounts of protein were loaded per lane. The sequence of EDC is 5'-CGC CAT TAG GCT AGC TAC AAC GAC CTA GTG AT-3'; 3' T is inverted; catalytic domain is underlined). SFM denotes serum-free medium.

**Figure 3** SMC proliferation is inhibited by NGFI-A DNAzyme. **a**, Assessment of total cell numbers by Coulter counter. Growth-arrested SMCs that had been exposed to serum and/or DNAzyme for 3 days were trypsinized followed by quantitation of the suspension. The sequence of AS2 is 5'-CTT GGC CGC TGC CAT-3'. **b**, Proportion of cells incorporating Trypan Blue after exposure to serum and/or DNAzyme. Cells were stained incubated in 0.2%

(w:v) Trypan Blue at 22 °C for 5 min prior to quantitation by hemocytometer in a blind manner. **c**, Effect of ED5 on pup SMC proliferation. Growth-arrested WKY12-22 cells exposed to serum and/or DNAzyme for 3 days were resuspended and numbers were quantitated by Coulter counter. Data is representative of 2 independent experiments performed in triplicate. The mean and standard errors of the mean are indicated in the figure. \* indicates P<0.05 (Student's paired t-test) as compared to control (FBS alone).

**Figure 4** Cellular localization and stability of NGFI-A DNAzymes. **a**, ED5 and ED5SCR localize predominantly within SMC nuclei. Growth-arrested SMC were transfected with FITC-(5' end)-labeled DNAzyme and fluorescence microscopy was performed after 24 h at 37 °C. ODN denotes oligonucleotide. Magnification 400x. **b**, 3' inverted T confers resistance to nucleolytic degradation in serum.  $^{32}\text{P}$ -ED5 or  $^{32}\text{P}$ -ED5SCR bearing a 3'-T in the correct or inverted position was incubated in 5% FBS or SFM at 37 °C for the times indicated prior to electrophoresis on 12% denaturing polyacrylamide gels and subsequent autoradiography.

**Figure 5** NGFI-A DNAzyme inhibits SMC repair after mechanical injury. Hematoxylin-eosin stained SMC cultures A, immediately after scraping, B, 3 days after injury, or 3 days after injury in the presence of C, ED5 or D, its scrambled counterpart, ED5SCR. Magnification 100x. Data is representative of 3 independent experiments.

**Figure 6** NGFI-A DNAzyme inhibition of neointima formation in the rat carotid artery. A neointima was achieved 18 days after permanent ligation of the right common carotid artery. DNAzyme (500 µg) or vehicle alone was applied adventitially at the time of ligation and again after 3 days. **a**, Sequence-specific inhibition of neointima formation. Neointimal and medial areas of 5 consecutive sections per rat (5 rats per group) taken at 250 µm intervals from the point of ligation were determined digitally and expressed as a ratio per group. The mean and standard errors of the mean are indicated by the ordinate axis. \* denotes P<0.05 as compared to the Lig, Lig + Veh or Lig + Veh + ED5SCR groups using the Wilcoxon rank sum test for unpaired data. Lig denotes ligation, Veh denotes vehicle. **b**, Representative cross-sections of carotid arteries 18 d after A, ligation alone, B, ligation with

adventitial application of vehicle, or vehicle containing **C**, **ED5** or **D**, **ED5SCR**. Sections (5  $\mu$ m) were stained with hematoxylin and eosin. Magnification 250x. *N* denotes neointima, *M* denotes media, *A* denotes adventitia.

5

**Figure 7** Human EGR-1 DNAzyme cleaves EGR-1 RNA in both a dose-dependent (upper panel) and time-dependent (lower panel) manner. Sequence of hED5SCR is 5'-GCC AGC CGC GGC TAG CTA CAA CGA AGG TGC CAC T-3' (3' T is inverted; catalytic domain is underlined). Sequence of hED5 appears in the legend of Fig. 1 and that of the substrate appears in the legend to Table 2.

#### DETAILED DESCRIPTION OF THE INVENTION

15 **Materials and Methods**

**ODN synthesis.** DNazymes were synthesized commercially (Oligos Etc., Inc.) with an inverted T at the 3' position unless otherwise indicated. Substrates in cleavage reactions were synthesized with no such modification. 20 Where indicated ODNs were 5'-end labeled with  $\gamma^{32}$ P-dATP and T4 polynucleotide kinase (New England Biolabs). Unincorporated label was separated from radiolabeled species by centrifugation on Chromaspin-10 columns (Clontech).

25 ***In vitro transcript and cleavage experiments.*** A  $^{32}$ P-labelled 206 nt NGFI-A RNA transcript was prepared by in vitro transcription (T3 polymerase) of plasmid construct pJDM8 (as described in Milbrandt, 1987, the entire contents of which are incorporated herein by reference) previously cut with *Bgl* II. Reactions were performed in a total volume of 20  $\mu$ l containing 10 mM MgCl<sub>2</sub>, 5 mM Tris pH 7.5, 150 mM NaCl, 4.8 pmol of in vitro transcribed or synthetic RNA substrate and 60 pmol DNAzyme (1:12.5 substrate to DNAzyme ratio), unless otherwise indicated. Reactions were allowed to proceed at 37 °C for the times indicated and quenched by transferring an aliquot to tubes containing formamide loading buffer (Sambrook et al, 1989). 30 Samples were run on 12% denaturing polyacrylamide gels and autoradiographed overnight at -80 °C.

**Culture conditions and DNAzyme transfection.** Primary rat aortic SMCs were obtained from Cell Applications, Inc., and grown in Waymouth's medium, pH 7.4, containing 10% fetal bovine serum (FBS), 50 µg/ml streptomycin and 50 IU/ml penicillin at 37 °C in a humidified atmosphere of 5% CO<sub>2</sub>. SMCs were used in experiments between passages 3-7. Pup rat SMCs (WKY12-22 (as described in Lemire et al, 1994, the entire contents of which are incorporated herein by reference)) were grown under similar conditions. Subconfluent (60-70%) SMCs were incubated in serum-free medium (SFM) for 6 h prior to DNAzyme (or antisense ODN, where indicated) transfection (0.1 µM) using Superfect in accordance with manufacturer's instructions (Qiagen). After 18 h, the cells were washed with phosphate-buffered saline (PBS), pH 7.4 prior to transfection a second time in 5% FBS.

**15 Northern blot analysis.** Total RNA was isolated using the TRIzol reagent (Life Technologies) and 25 µg was resolved by electrophoresis prior to transfer to Hybond-N+ membranes (NEN-DuPont). Prehybridization, hybridization with α<sup>32</sup>P-dCTP-labeled Egr-1 or β-Actin cDNA, and washing 20 was performed essentially as previously described (Khachigian et al, 1995).

**25 Western blot analysis.** Growth-quiescent SMCs in 100 mm plates (Nunc-InterMed) were transfected with ED5 or ED5SCR as above, and incubated with 5% FBS for 1 h. The cells were washed in cold PBS, pH 7.4, and extracted in 150 mM NaCl, 50 mM Tris-HCl, pH 7.5, 1% sodium deoxycholate, 0.1% SDS, 1% Triton X-100, 5 mM EDTA, 1% trasylol, 10 µg/ml leupeptin, 1% aprotinin and 2 mM PMSF. Twenty four µg protein samples were loaded onto 10% denaturing SDS-polyacrylamide gels and electroblotted onto PVDF nylon membranes (NEN-DuPont). Membranes were 30 air dried prior to blocking with non-fat skim milk powder in PBS containing 0.05% (w:v) Tween 20. Membranes were incubated with rabbit antibodies to Egr-1 or Sp1 (Santa Cruz Biotechnology, Inc.) (1:1000) then with HRP-linked mouse anti-rabbit Ig secondary antiserum (1:2000). Where mouse monoclonal c-Fos (Santa Cruz Biotechnology, Inc.) was used, detection was 35 achieved with HRP-linked rabbit anti-mouse Ig. Proteins were visualized by chemiluminescent detection (NEN-DuPont).

5           **Assays of cell proliferation.** Growth-quiescent SMCs in 96-well titer plates (Nunc-InterMed) were transfected with ED5 or ED5SCR as above, then exposed to 5% FBS at 37 °C for 72 h. The cells were rinsed with PBS, pH 7.4, trypsinized and the suspension was quantitated using an automated Coulter counter.

10          **Assessment of DNAzyme stability.** DNAzymes were 5'-end labeled with  $\gamma^{32}\text{P}$ -dATP and separated from free label by centrifugation. Radiolabeled DNAzymes were incubated in 5% FBS or serum-free medium at 37 °C for the times indicated. Aliquots of the reaction were quenched by transfer to tubes containing formamide loading buffer (Sambrook et al, 1989). Samples were applied to 12% denaturing polyacrylamide gels and autoradiographed overnight at -80 °C.

15          **SMC wounding assay.** Confluent growth-quiescent SMCs in chamber slides (Nunc-InterMed) were exposed to ED5 or ED5SCR for 18 h prior to a single scrape with a sterile toothpick. Cells were treated with mitomycin C (Sigma) (20  $\mu\text{M}$ ) for 2 h prior to injury (Pitsch et al, 1996; Horodyski & Powell, 1996). 20         Seventy-two h after injury, the cells were washed with PBS, pH 7.4, fixed with formaldehyde then stained with hematoxylin-eosin.

25          **Rat arterial ligation model and analysis.** Adult male Sprague Dawley rats weighing 300-350 g were anaesthetised using ketamine (60 mg/kg, i.p.) and xylazine (8 mg/kg, i.p.). The right common carotid artery was exposed up to the carotid bifurcation via a midline neck incision. Size 6/0 non-absorbable suture was tied around the common carotid proximal to the bifurcation, ensuring cessation of blood flow distally. A 200  $\mu\text{l}$  solution at 4 °C containing 500  $\mu\text{g}$  of DNAzyme (in DEPC-treated H<sub>2</sub>O), 30  $\mu\text{l}$  of transfecting agent and Pluronic gel P127 (BASF) was applied around the vessel in each group of 5 rats, extending proximally from the ligature for 12-15 mm. These agents did not inhibit the solidification of the gel at 37 °C. After 3 days, vehicle with or without 500  $\mu\text{g}$  of DNAzyme was administered a second time. 30         Animals were sacrificed 18 days after ligation by lethal injection of phenobarbitone, and perfusion fixed using 10% (v:v) formaldehyde perfused at 120 mm Hg. Both carotids were then dissected free and placed in 10% 35

formaldehyde, cut in 2 mm lengths and embedded in 3% (w:v) agarose prior to fixation in paraffin. Five  $\mu\text{m}$  sections were prepared at 250  $\mu\text{m}$  intervals along the vessel from the point of ligation and stained with hematoxylin and eosin. The neointimal and medial areas of 5 consecutive sections per rat  
5 were determined digitally using a customized software package (Magellan) (Halasz & Martin, 1984) and expressed as a mean ratio per group of 5 rats.

### Results and Discussion

10 The 7x7 nt arms flanking the 15 nt DNAzyme catalytic domain in the original DNAzyme design 7 were extended by 2 nts per arm for improved specificity (L.-Q. Sun, data not shown) (Fig. 1a). The 3' terminus of the molecule was capped with an inverted 3'-3'-linked thymidine (T) (Fig. 1a) to confer resistance to 3'->5' exonuclease digestion. The sequence in both arms  
15 of ED5 was scrambled (SCR) without altering the catalytic domain to produce DNAzyme ED5SCR (Fig. 1a).

A synthetic RNA substrate comprised of 23 nts, matching nts 805 to 827 of NGFI-A mRNA (Fig. 1a) was used to determine whether ED5 had the capacity to cleave target RNA. ED5 cleaved the  $^{32}\text{P}$ -5'-end labeled 23-mer  
20 within 10 min (Fig. 1b). The 12-mer product (Fig. 1b) corresponds to the length between the A(816)-U(817) junction and the 5' end of the substrate (Fig. 1a). In contrast, ED5SCR had no demonstrable effect on this synthetic substrate (Fig. 1b). Specific ED5 catalysis was further demonstrated by the inability of the human equivalent of this DNAzyme (hED5) to cleave the rat  
25 substrate over a wide range of stoichiometric ratios (Fig. 1c). Similar results were obtained using ED5SCR (data not shown). hED5 differs from the rat ED5 sequence by 3 of 18 nts in its hybridizing arms (Fig. 1c & Table 2). The catalytic effect of ED5 on a  $^{32}\text{P}$ -labeled 206 nt fragment of native NGFI-A mRNA prepared by *in vitro* transcription was then determined. The cleavage  
30 reaction produced two radiolabeled species of 163 and 43 nt length (Fig. 1d) consistent with DNAzyme cleavage at the A(816)-U(817) junction (Fig. 1d). In other experiments, ED5 also cleaved a  $^{32}\text{P}$ -labeled NGFI-A transcript of 1960 nt length in a specific and time-dependent manner (data not shown).

**Table 2. DNAzyme target sites in mRNA.**

Similarity between the 18 nt arms of ED5 or hED5 and the mRNA of rat  
 5 NGFI-A or human EGR-1 (among other transcription factors) is expressed as a percentage. The target sequence of ED5 in NGFI-A mRNA is 5'-807-A  
 CGU CCG GGA UGG CAG CGG-825-3' (rat NGFI-A sequence), and that of hED5 in EGR-1 is 5'-262-U CGU CCA GGA UGG CCG CGG-280-3' (Human EGR-1 sequence). Nucleotides in bold indicate mismatches between rat and  
 10 human sequences. Data obtained by a gap best fit search in ANGIS using sequences derived from Genbank and EMBL. Rat sequences for Sp1 and c-Fos have not been reported.

15	Gene	Accession number	Best homology over 18 nts (%)	
			ED5	hED5
	Rat NGFI-A	M18416	<b>100</b>	84.2
20	Human EGR-1	X52541	84.2	<b>100</b>
	Murine Sp1	AF022363	66.7	66.7
	Human c-Fos	K00650	66.7	66.7
	Murine c-Fos	X06769	61.1	66.7
	Human Sp1	AF044026	38.9	28.9

25

To determine the effect of the DNAzymes on endogenous levels of NGFI-A mRNA, growth-quiescent SMCs were exposed to ED5 prior to stimulation with serum. Northern blot and densitometric analysis revealed  
 30 that ED5 (0.1  $\mu$ M) inhibited serum-inducible steady-state NGFI-A mRNA levels by 55% (Fig. 2a), whereas ED5SCR had no effect (Fig. 2a). The capacity of ED5 to inhibit NGFI-A synthesis at the level of protein was assessed by Western blot analysis. Serum-induction of NGFI-A protein was suppressed by ED5 (Fig. 2b). In contrast, neither ED5SCR nor EDC, a  
 35 DNAzyme bearing an identical catalytic domain as ED5 and ED5SCR but flanked by nonsense arms had any influence on the induction of NGFI-A (Fig.

2b). ED5 failed to affect levels of the constitutively expressed, structurally - related zinc-finger protein, Sp1 (Fig. 2b). It was also unable to block serum-induction of the immediate-early gene product, c-Fos (Fig. 2b) whose induction, like NGFI-A, is dependent upon serum response elements in its promoter and phosphorylation mediated by extracellular-signal regulated kinase (Treisman, 1990, 1994 and 1995; Gashler & Sukhatme, 1995). These findings, taken together, demonstrate the capacity of ED5 to inhibit production of NGFI-A mRNA and protein in a gene-specific and sequence-specific manner, consistent with the lack of significant homology between its target site in NGFI-A mRNA and other mRNA (Table 2).

The effect of ED5 on SMC replication were then determined. Growth-quiescent SMCs were incubated with DNAzyme prior to exposure to serum and the assessment of cell numbers after 3 days. ED5 (0.1  $\mu$ M) inhibited SMC proliferation stimulated by serum by 70% (Fig. 3a). In contrast, ED5SCR failed to influence SMC growth (Fig. 3a). AS2, an antisense NGFI-A ODN able to inhibit SMC growth at 1  $\mu$ M failed to inhibit proliferation at the lower concentration (Fig. 3a). Additional experiments revealed that ED5 also blocked serum-inducible  $^3$ H-thymidine incorporation into DNA (data not shown). ED5 inhibition was not a consequence of cell death since no change in morphology was observed, and the proportion of cells incorporating Trypan Blue in the presence of serum was not influenced by either DNAzyme (Fig. 3b).

Cultured SMCs derived from the aortae of 2 week-old rats (WKY12-22) are morphologically and phenotypically similar to SMCs derived from the neointima of balloon-injured rat arteries (Seifert et al, 1984; Majesky et al, 1992). The epitheloid appearance of both WKY12-22 cells and neointimal cells contrasts with the elongated, bipolar nature of SMCs derived from normal quiescent media (Majesky et al, 1988). WKY12-22 cells grow more rapidly than medial SMCs and overexpress a large number of growth-regulatory molecules (Lemire et al, 1994), such as NGFI-A (Rafty & Khachigian, 1998), consistent with a "synthetic" phenotype (Majesky et al, 1992; Campbell & Campbell, 1985). ED5 attenuated serum-inducible WKY12-22 proliferation by approximately 75% (Fig. 3c). ED5SCR had no inhibitory effect; surprisingly, it appeared to stimulate growth (Fig. 3c). Trypan Blue exclusion revealed that DNAzyme inhibition was not a consequence of cytotoxicity (data not shown).

To ensure that differences in the biological effects of ED5 and ED5SCR were not the consequence of dissimilar intracellular localization, both DNAzymes were 5'-end labeled with fluorescein isothiocyanate (FITC) and incubated with SMCs. Fluorescence microscopy revealed that both FITC-  
5 ED5 (Fig. 4a, center panel) and FITC-ED5SCR (Fig. 4a, lower panel) localized mainly within the nuclei. Punctate fluorescence in this cellular compartment was independent of DNAzyme sequence (Fig. 4a). Fluorescence was also observed in the cytoplasm, albeit with less intensity (Fig. 4a). Cultures not been exposed to DNAzyme showed no evidence of  
10 autofluorescence (Fig. 4a, upper panel).

Both molecules were 5'-end labeled with  $\gamma^{32}\text{P}$ -dATP and incubated in culture medium to ascertain whether cellular responsiveness to ED5 and ED5SCR was a consequence of differences in DNAzyme stability. Both  $^{32}\text{P}$ -ED5 and  $^{32}\text{P}$ -ED5SCR remained intact even after 48 h (Fig. 4b). In contrast to  $^{32}\text{P}$ -ED5 bearing the 3' inverted T, degradation of  $^{32}\text{P}$ -ED5 bearing its 3' T in the correct orientation was observed as early as 1 h (Fig. 4b). Exposure to serum-free medium did not result in degradation of the molecule even after 48 h (Fig. 4b). These findings indicate that inverse orientation of the 3' base in the DNAzyme protects the molecule from nucleolytic cleavage by  
15 components in serum.

Physical trauma imparted to SMCs in culture results in outward migration from the wound edge and proliferation in the denuded zone. We determined whether ED5 could modulate this response to injury by exposing growth-quiescent SMCs to either DNAzyme and Mitomycin C, an inhibitor of proliferation (Pitsch et al, 1996; Horodyski & Powell, 1996) prior to scraping.  
25 Cultures in which DNAzyme was absent repopulated the entire denuded zone within 3 days (Fig. 5, compare B to A). ED5 inhibited this reparative response to injury (Fig. 5, compare C to B) and prevented additional growth in this area even after 6 days (data not shown). That ED5SCR had no effect  
30 in this system (Fig. 5, compare D to B and C) further demonstrates sequence-specific inhibition by ED5.

The effect of ED5 on neointima formation was investigated in a rat model. Complete ligation of the right common carotid artery proximal to the bifurcation results in migration of SMCs from the media to the intima where proliferation eventually leads to the formation of a neointima (Kumar & Lindner, 1997; Bhawan et al, 1977; Buck, 1961). Intimal thickening 18 days  
35

after ligation was inhibited 50% by ED5 (Fig. 6). In contrast, neither its scrambled counterpart (Fig. 6) nor the vehicle control (Fig. 6) had any effect on neointima formation. These findings demonstrate the capacity of ED5 to suppress SMC accumulation in the vascular lumen in a specific manner, and  
5 argue against inhibition as a mere consequence of a "mass effect" (Kitze et al, 1998; Tharlow et al, 1996).

Further experiments revealed the capacity of hED5 to cleave (human) EGR-1 RNA. hED5 cleaved its substrate in a dose-dependent manner over a wide range of stoichiometric ratios (Fig. 7). hED5 also cleaved in a  
10 time-dependent manner (Fig. 7), whereas hED5SCR, its scrambled counterpart, had no such catalytic property (Fig. 7).

The specific, growth-inhibitory properties of ED5 reported herein suggest that DNAzymes may be useful as therapeutic tools in the treatment of vascular disorders involving inappropriate SMC growth.

15 It will be appreciated by persons skilled in the art that numerous variations and/or modifications may be made to the invention as shown in the specific embodiments without departing from the spirit or scope of the invention as broadly described. The present embodiments are, therefore, to be considered in all respects as illustrative and not restrictive.

Dated this eleventh day of January 1999

UNISEARCH LIMITED  
Patent Attorneys for the Applicant:

F B RICE & CO

## SEQUENCE LISTING

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G A G G  
C C  
A T  
A A  
C A T C G

ED5

3'-L C ACC TCG GT CGC CGA CCG-5'  
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A T  
A A  
C A T C G

ED5SCR

Figure 1A

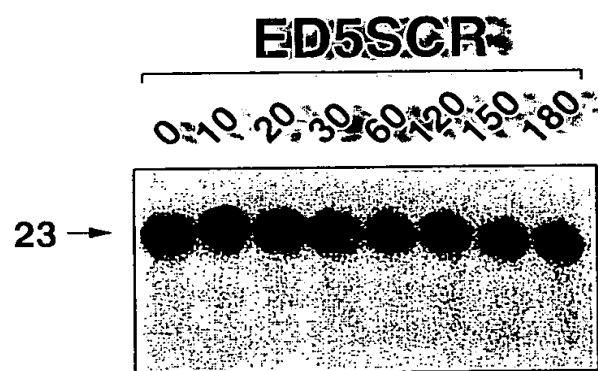
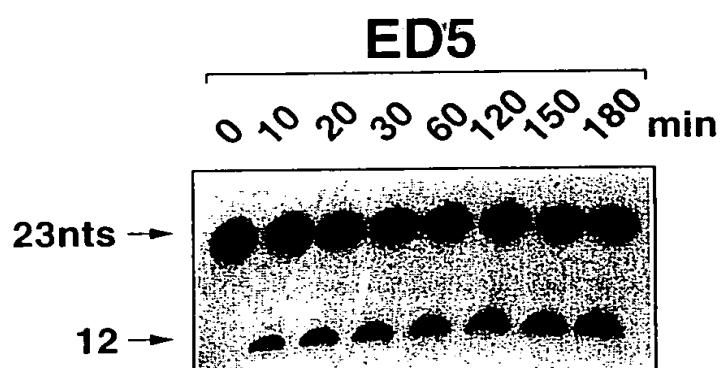
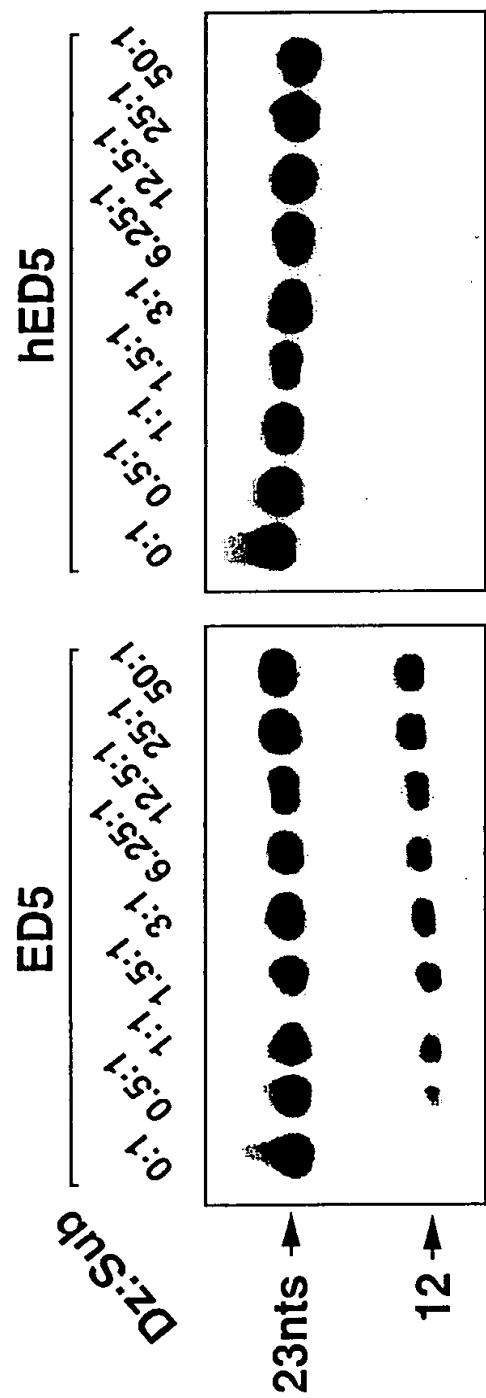


Figure 1B

Figure 1C



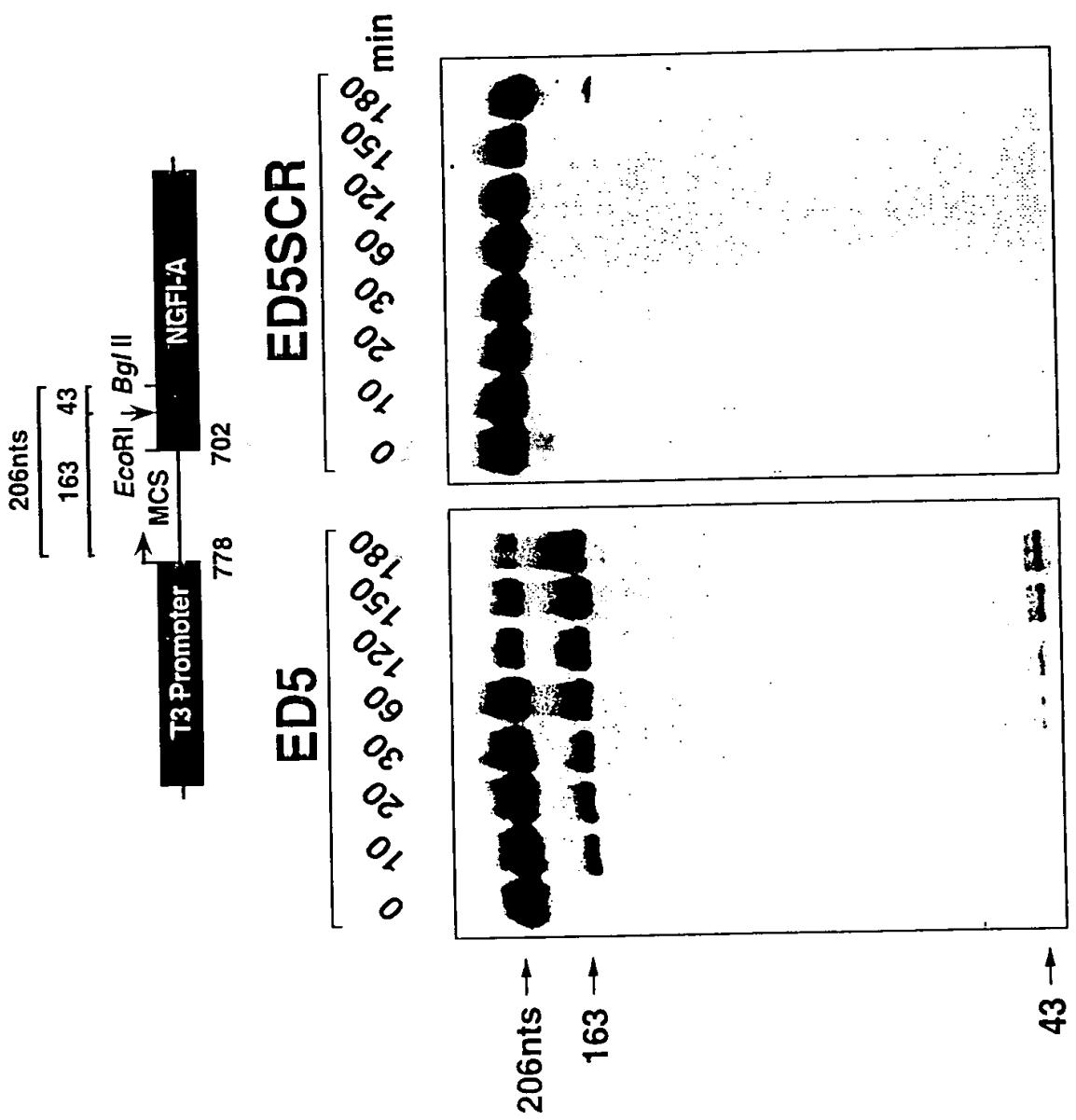


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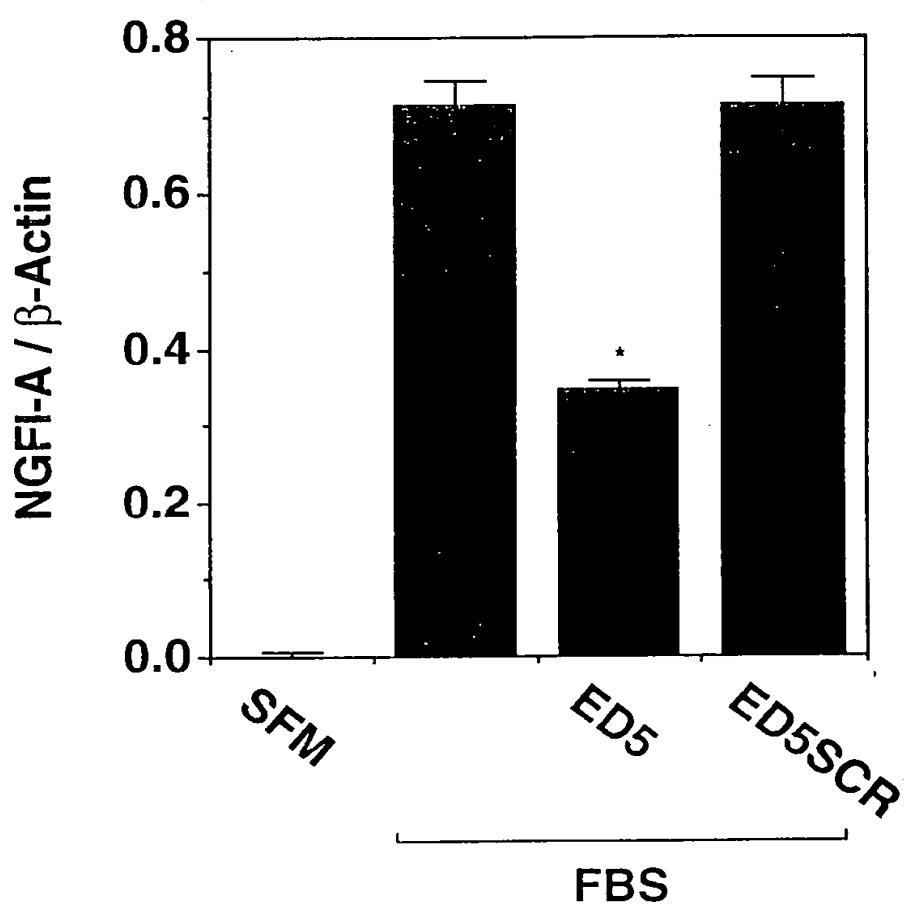
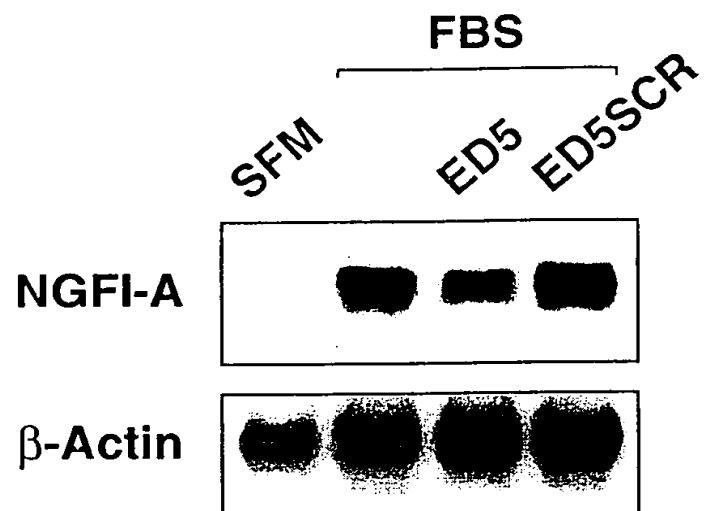


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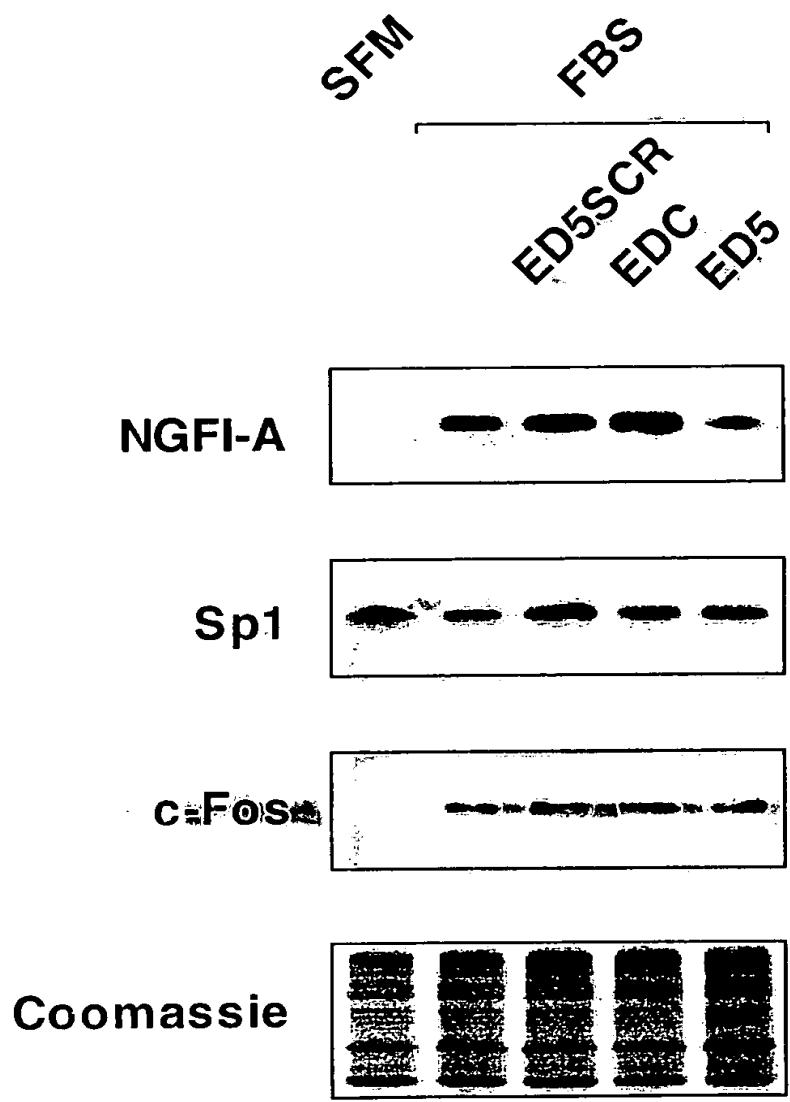


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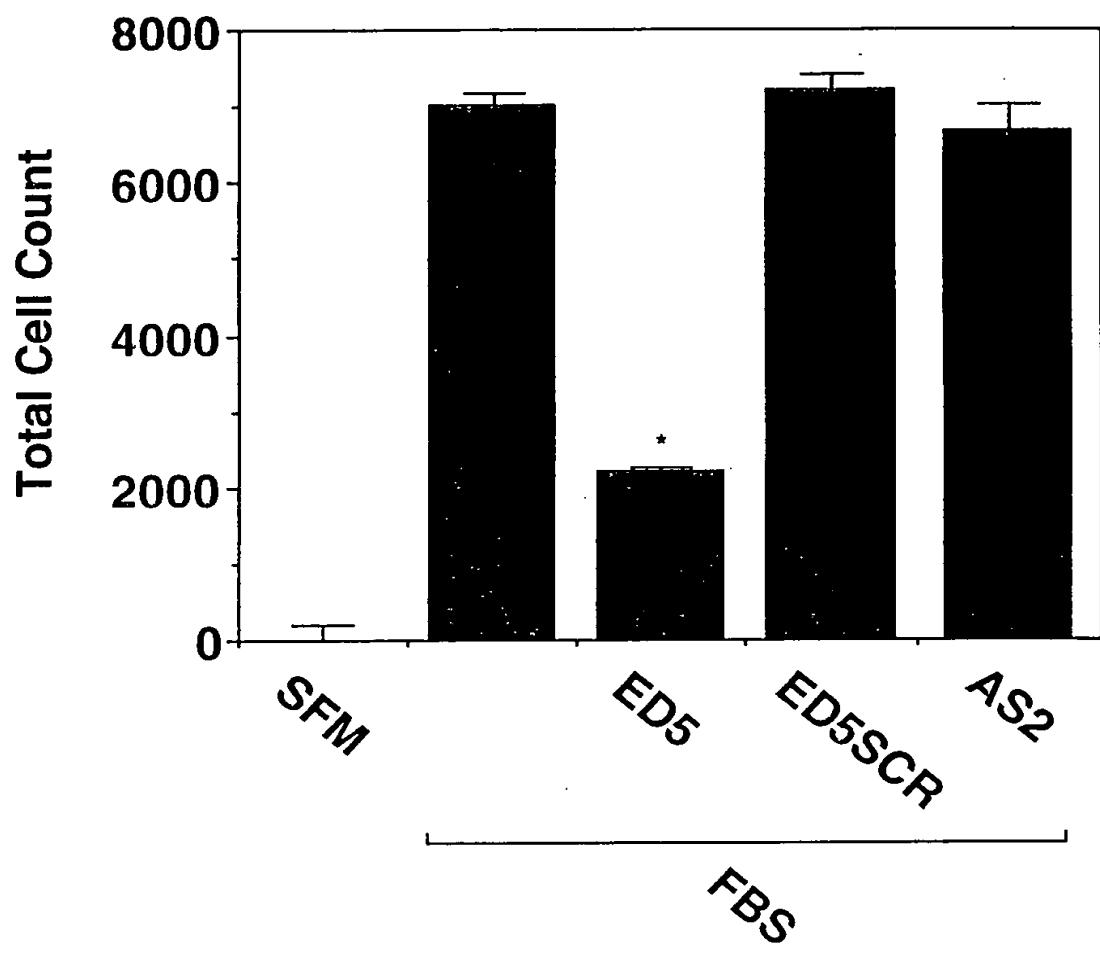


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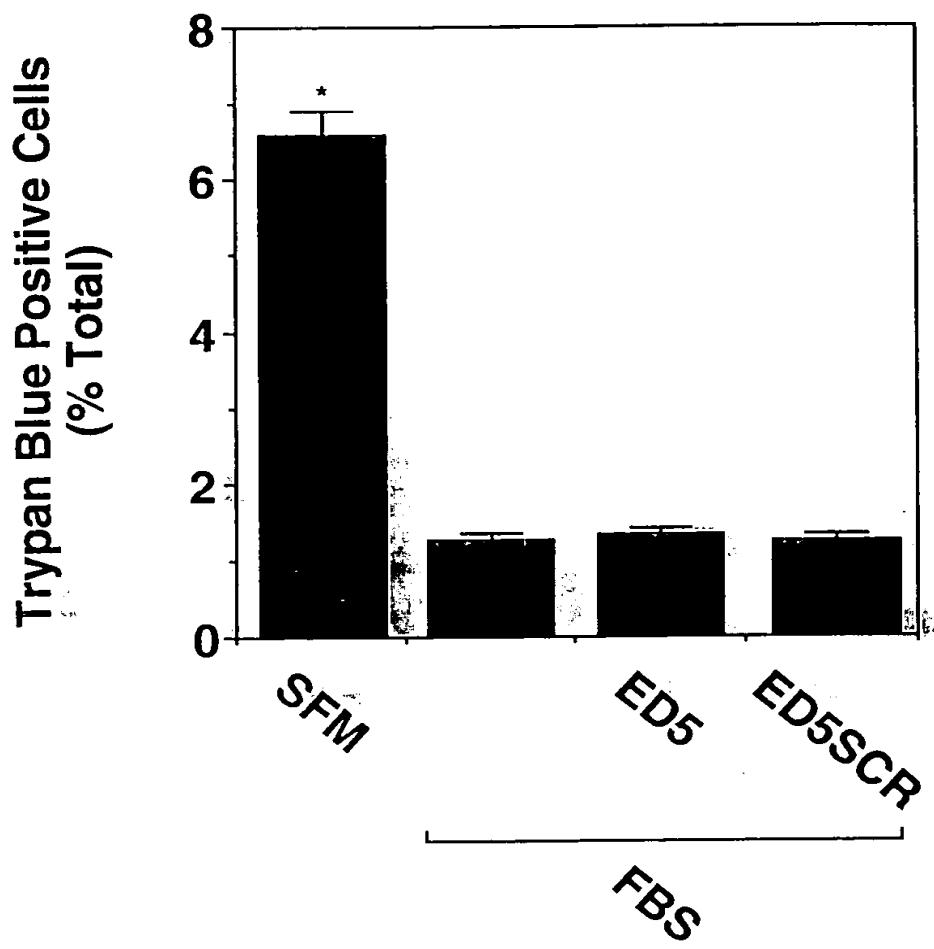


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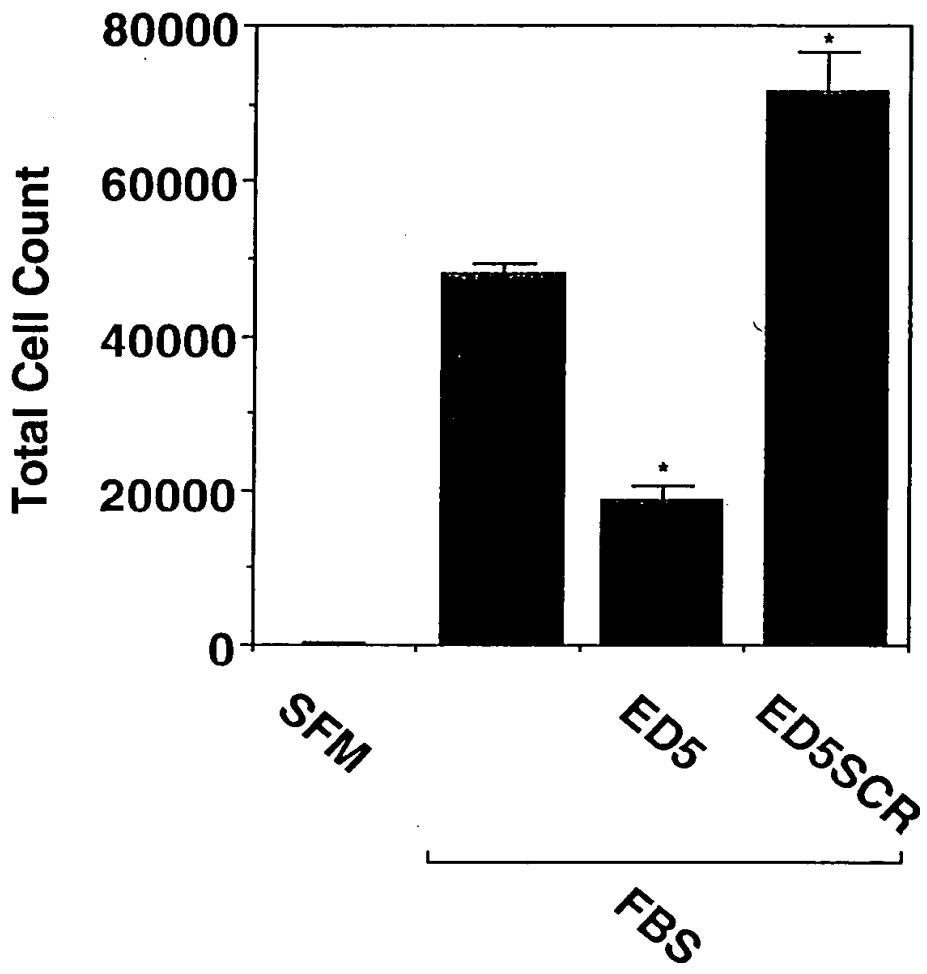


Figure 3C

No ODN

FITC-ED5

FITC-ED5SCR

Figure 1A

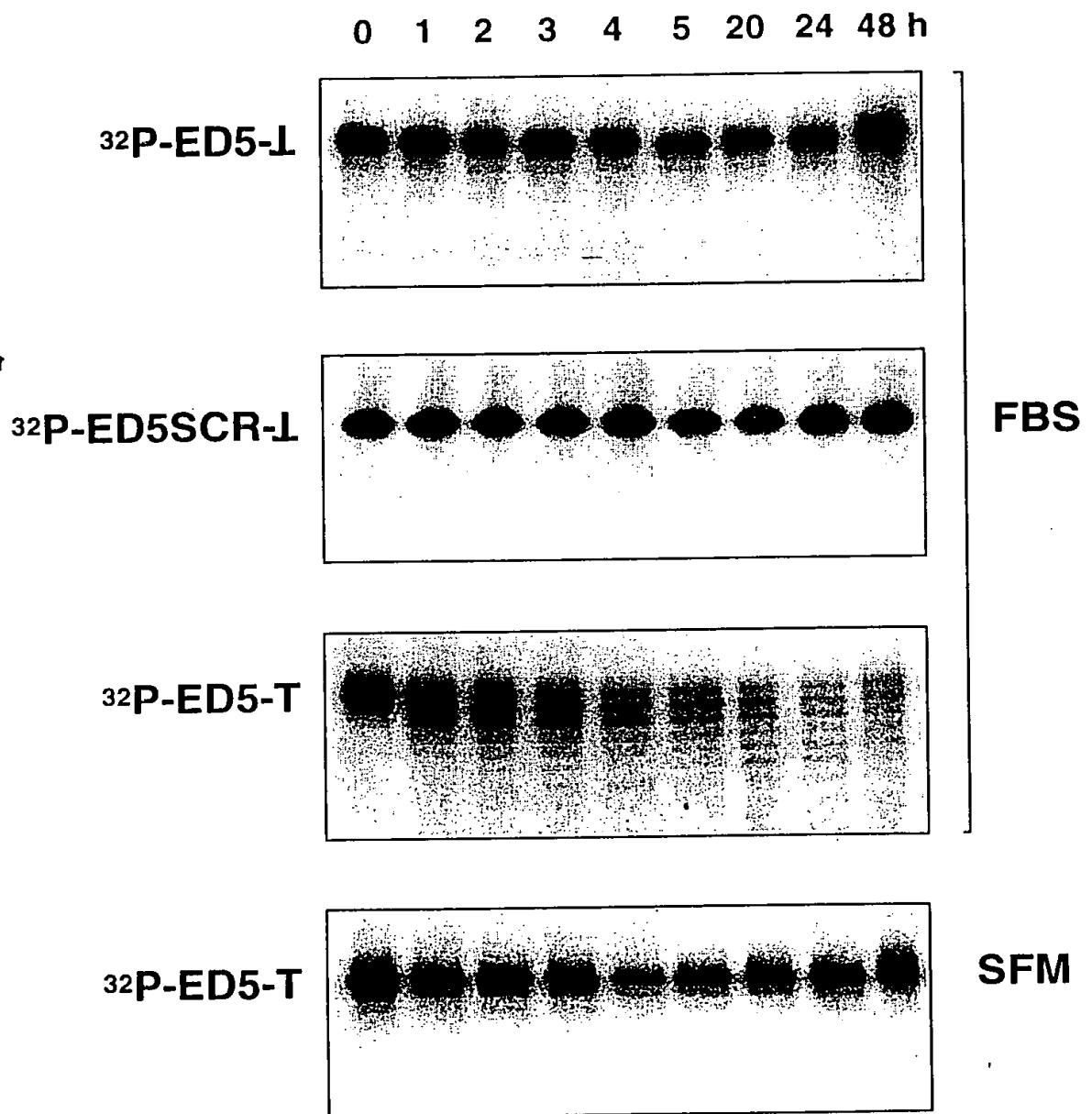


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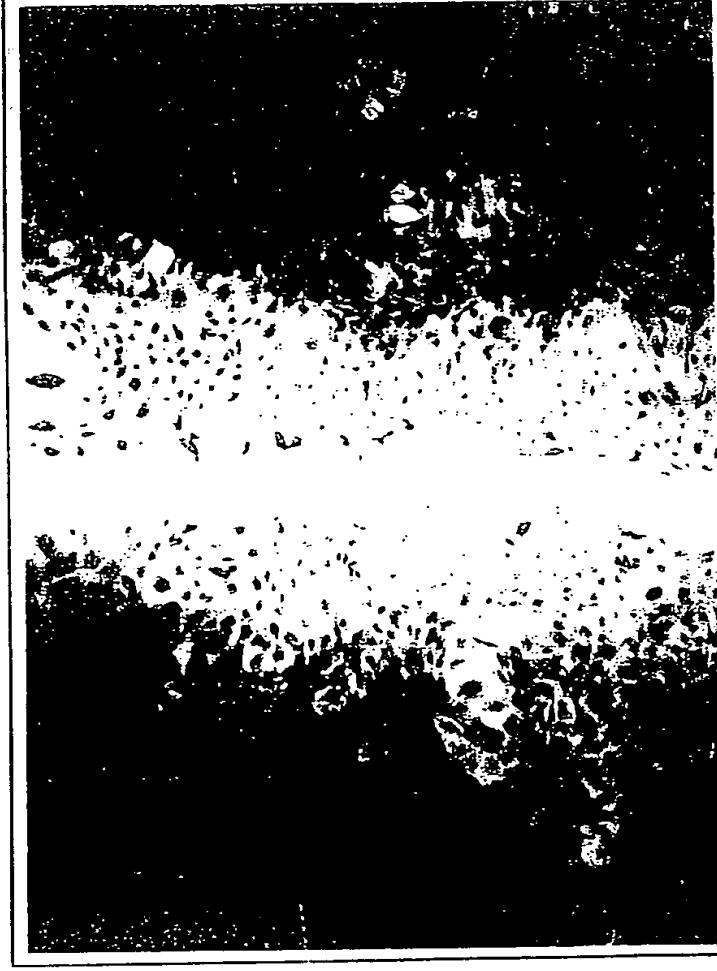
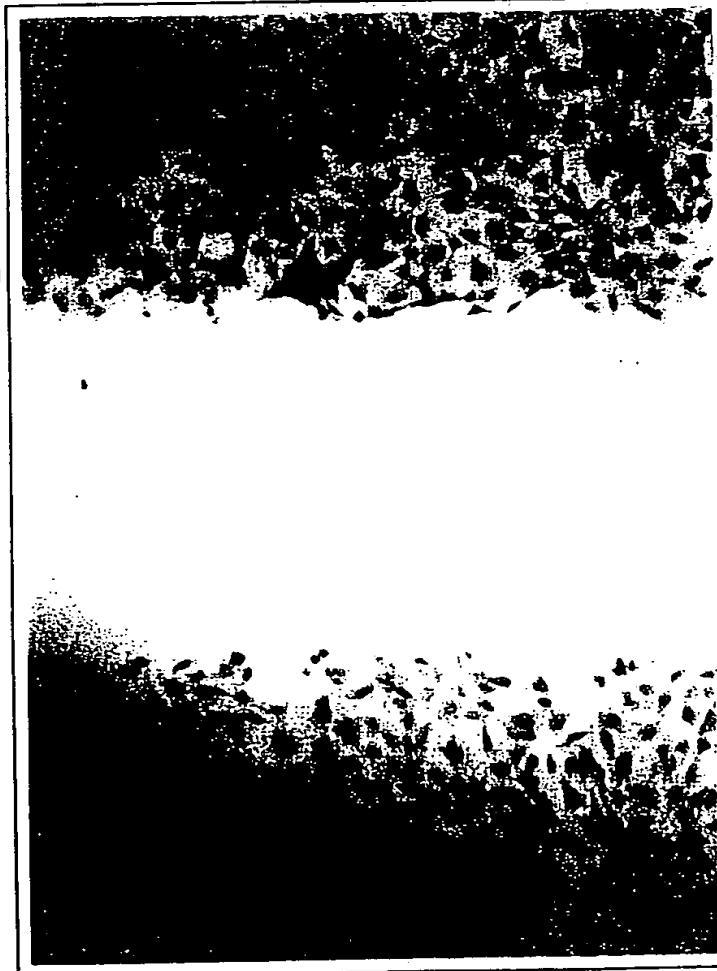


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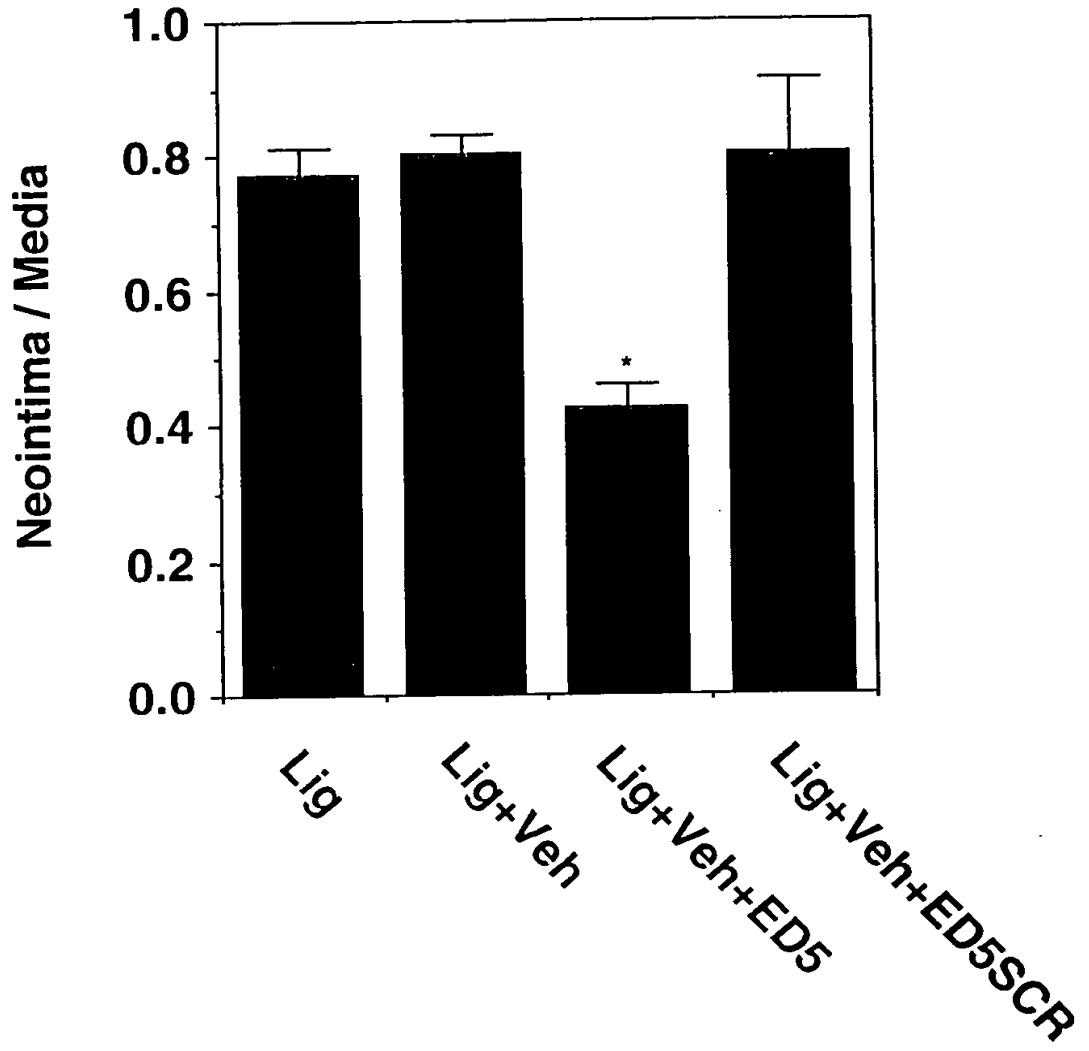


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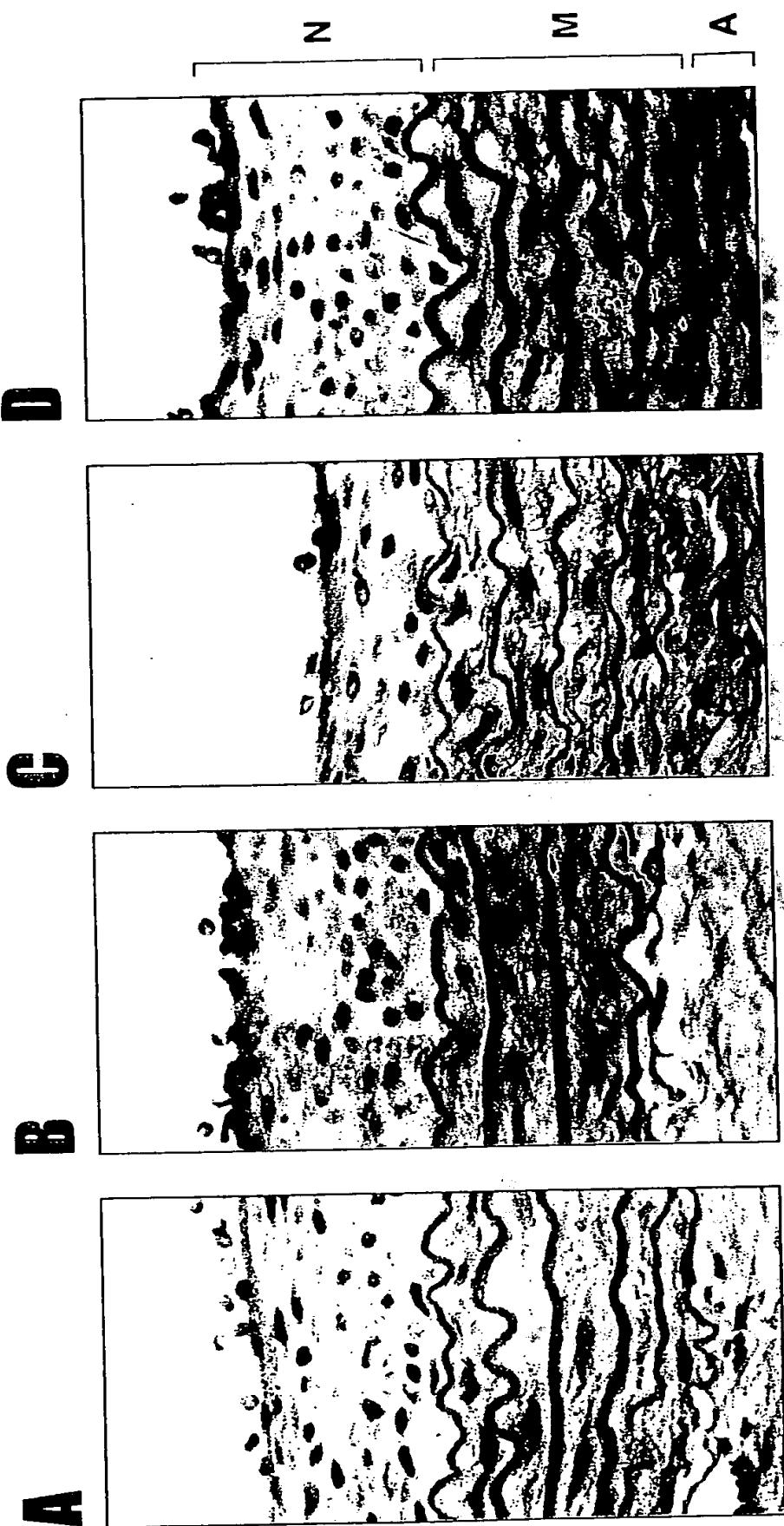


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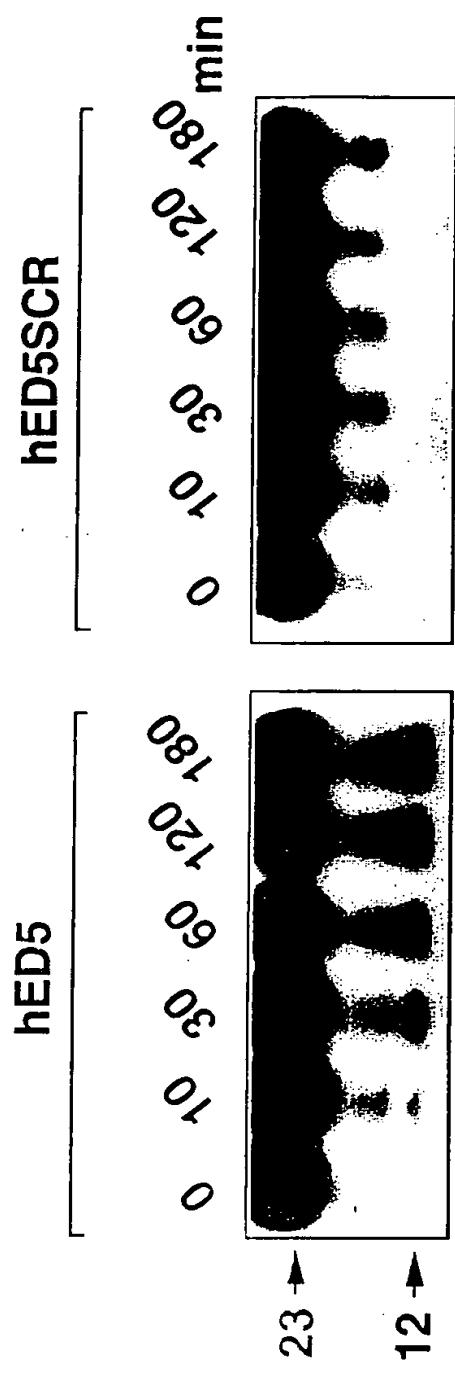
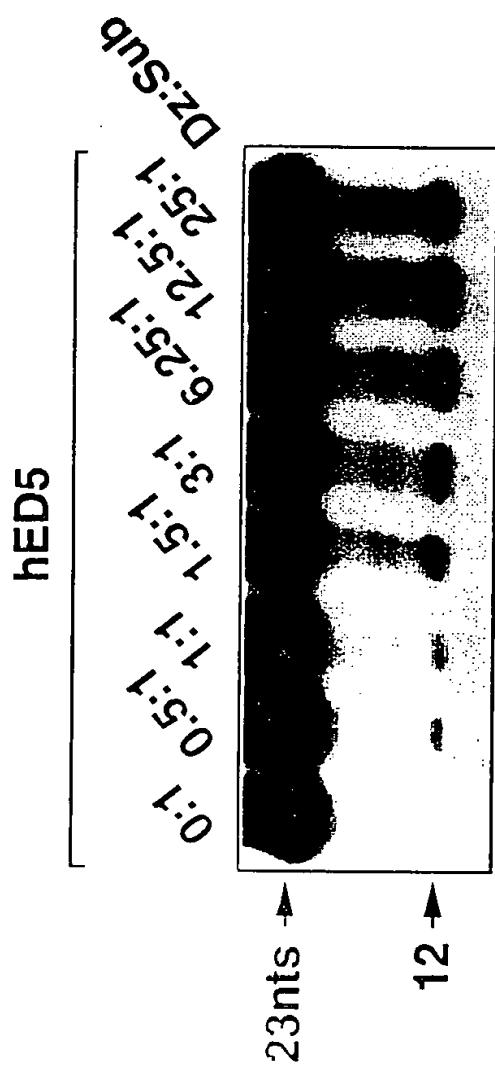


Figure 7

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